Contents lists available at ScienceDirect

## Annals of Hepatology



journal homepage: www.elsevier.es/annalsofhepatology

Original article

# Comparison of diagnostic performance of AFP, DCP and two diagnostic models in hepatocellular carcinoma: a retrospective study



Yongwu Chen<sup>a,#</sup>, Xiuli Yang<sup>b,#</sup>, Yanfei Shao<sup>b</sup>, Hongying Zhao<sup>b</sup>, Jinying Jiang<sup>b</sup>, Ping Huang<sup>b,c</sup>, Yi Lu<sup>d,\*</sup>, Zixue Xuan<sup>b,c,\*</sup>

<sup>a</sup> Department of Pharmacy, The First Affiliated Hospital of USTC, Division of Life Sciences and Medicine, University of Science and Technology of China, Hefei, 230036, China

<sup>b</sup> Center for Clinical Pharmacy, Cancer Center, Department of Pharmacy, Zhejiang Provincial People's Hospital, Affiliated People's Hospital, Hangzhou Medical College, Hangzhou, 310014, China

<sup>c</sup> Key Laboratory of Endocrine Gland Diseases of Zhejiang Province, Zhejiang Provincial People's Hospital, Affiliated People's Hospital, Hangzhou Medical College, Hangzhou, 310014, China

<sup>d</sup> General Surgery, Cancer Center, Department of Hepatobiliary & Pancreatic Surgery and Minimally Invasive Surgery, Zhejiang Provincial People's Hospital, Affiliated People's Hospital, Hangzhou Medical College, Hangzhou, 310014, China

#### ARTICLE INFO

Article History: Received 1 July 2022 Accepted 20 October 2022 Available online 6 April 2023

Keywords: Diagnosis GAAP model ASAP model DCP Hepatocellular carcinoma

### ABSTRACT

*Introduction and Objectives:* Hepatocellular carcinoma (HCC) may be diagnosed using the GAAP and ASAP models; our goal was to verify and evaluate their diagnostic effectiveness compared to alpha-fetoprotein (AFP), des-gamma-carboxy prothrombin (DCP), and AFP & DCP for both HCC and HCC caused by the hepatitis B virus (HBV).

*Patients and Methods:* GAAP and ASAP models were validated and compared using a retrospective investigation of 938 patients from our hospital between July 2020 and July 2021.

*Results:* Both the GAAP and ASAP models had better diagnostic efficacy than AFP, DCP, AFP & DCP. The GAAP model achieved better performance in section A for the detection of HCC and in section C for the detection of HBV-HCC than the ASAP model. The Hosmer-Lemeshow test showed that the GAAP and ASAP models were well-calibrated for the diagnoses of these two groups. To be more specific, the area under curve (AUC) of the GAAP model for HCC detection in section A was 0.862 [95% confidence interval (CI): 0.838-0.883], and that of the ASAP model was 0.850 [95% CI: 0.826-0.872]. The AUC of the GAAP model for HBV-HCC detection in section C was 0.897 [95% CI: 0.872-0.918], and that of the ASAP model was 0.878 [95% CI: 0.852-0.902].

*Conclusions:* The GAAP model was more accurate and reliable than the AFP, DCP, AFP and DCP, as well as the ASAP model in section A for the detection of HCC and in section C for the detection of HBV-HCC.

© 2023 Fundación Clínica Médica Sur, A.C. Published by Elsevier España, S.L.U. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/)

1. Introduction

China has the highest frequency of liver cancer, primarily hepatocellular carcinoma (HCC), based on the Globocan 2020 report by the International Agency for Research on Cancer (IARC), a section of the

\* Corresponding authors.

World Health Organization (WHO). The majority (80%) of patients with HCC in China are associated to infections with the hepatitis B virus (HBV) [1]. The prognosis of subjects with HCC depends greatly on earlier detection [2,3]. Conventionally, HCC diagnosis predominantly depends on abdominal ultrasound (US), multidetector-row spiral computed tomography (CT), magnetic resonance imaging (MRI) [4], and some blood markers, like alpha-fetoprotein (AFP), desgamma-carboxy prothrombin (DCP). AFP is a recognized biomarker that has been widely applied in the serologic screening of early HCC [5]. With more sensitivity and specificity to AFP, DCP sometimes referred to as a protein triggered by vitamin K absence or antagonist II (PIVKA-II), has lately become a key diagnostic tool for liver malignancy [6,7]. However, whether a mixture of AFP and DCP could elevate the efficiency in the detection of early HCC remains to be discussed [8]. Liu et al. constructed a model based on gender, age, AFP, and DCP (GAAP model) in a single-center cohort consisting of

https://doi.org/10.1016/j.aohep.2023.101099

1665-2681/© 2023 Fundación Clínica Médica Sur, A.C. Published by Elsevier España, S.L.U. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/)

Abbreviations: TRIPOD, Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis; ROC, receiver operating characteristic; AUC, Area Under Curve; US, ultrasound; CT, computed tomography; MRI, magnetic resonance imaging; AFP, alpha-fetoprotein; DCP, des-gamma-carboxy prothrombin; PIVKA-II, vitamin K absence or antagonist II; ALT, alanine aminotransferase; AST, aspartic acid aminotrans-ferase; ALP, Alkaline phosphatase; TBIL, total bilirubin; PLT, platelet; HCC, hepatocellular carcinoma; CHB, chronic hepatitis B; HBV, hepatitis B virus; LC, liver cirrhosis; HBV-LC, HBV-related liver cirrhosis; HC, heathy control

E-mail addresses: lyysz@zju.edu.cn (Y. Lu), xuanzixue0222@163.com (Z. Xuan).

<sup>&</sup>lt;sup>#</sup> These authors contributed equally to this work.

525 cancer cases (242 HCC, 187 cirrhosis, and 96 chronic hepatitis) [9], and found that the AUC of this model was 0.924 (95% CI, 0.895 –0.952). Yang *et al.* also created the ASAP model, a diagnostic nomogram model depending on age, gender, AFP, and PIVKA-II, which exhibited slightly stronger diagnostic performance in the identification of HBV-HCC relative to the GALAD model [10,11]. Nevertheless, there is a paucity of validation in external sets for the discrimination and calibration of these two newer models. It is also unclear whether the models could exhibit favorable diagnostic value in other domestic medical facilities, and no studies are comparing the diagnostic and predictive efficacy of the two models. In this investigation, we discussed the diagnostic and predictive significance of AFP, DCP, AFP & DCP, the GAAP and ASAP models in patients with HCC, based on 938 cases from our hospital between July 2020 and July 2021,

#### 2. Patients and Methods

#### 2.1. Design and patients

All patients were obtained in the investigation between July 2020 and July 2021, when they were admitted to the hospital. A dataset containing 262 cases with HCC (199 individuals with HBV-HCC or 143 HCC cases with liver cirrhosis (LC)), 173 subjects with LC (115 participants with HBV-LC), 393 individuals with chronic hepatitis B (CHB), and 110 healthy controls (HC) was utilized to examine the GAAP and ASAP models (Figure 1). The clinical database was employed to obtain demographic information, clinical features, diagnostic information, and laboratory findings (such as the participants' AFP and DCP levels, liver function, and standard blood testing). The Chinese Society of Hepatology's most recent recommendations for the prevention and management of CHB infection were followed in this investigation while establishing the diagnosis of CHB and associated cirrhosis [12,13]. Inclusion conditions for the Healthy control cohort were as follows: (1) no history of liver-related illness diagnosis or treatment, no family history of malignancy; and (2) serological indicators showed no current or prior HBV infection and an anti-HCV antibody analysis was negative; and (3) regular blood assessments, liver function testing, and kidney function analyse all revealed normal findings; and (4) no disorders were seen during the ultrasonography of the liver or gallbladder systems, and (5) the liver fibroscan findings showed no disorders. HCC was diagnosed by histopathological examination of the biopsy or met the following criteria: imaging tests (US, CT, MRI, or other imaging tests) showing typical imaging injuries of HCC, and the lesion tissue had typical changes of blood

flow. The inclusion criteria were: (1) HCC not treated with surgery, radiation, chemotherapy, or ablation; (2) no missing data for AFP or DCP. The following were the exclusion conditions: (1) current warfarin therapy; (2) in patients with multiple admissions, only the first admission was included.

In this study, the predictors included in the ASAP and GAAP models were age, gender, AFP, and DCP. We estimated the sample size based on the need for 10 positive outcome events per predictor. In addition, as a single-level model, at least 100 events are required. We take the larger of the two as the lower limit of the sample size. Therefore, the estimated sample size of this study is 100.

#### 2.2. AFP and DCP Assays

Utilizing Roche electrochemiluminescence immunoassay (ng/mL units), serum AFP levels were determined in this research. Utilizing the ARCHITECT immunoassay (mAU/mL units) and frozenthawed serum, DCP, which is formed in tumor tissues as a consequence of an acquired deficiency in posttranslational carboxylation of the prothrombin precursor, was detected [11]. The laboratory tests were conducted by technicians who were not aware of the subjects' diagnosis. There were no negative outcomes associated with the collection of serum samples.

### 2.3. Models for validation

For the reporting and execution of this external validation research, we strictly followed the Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis or Diagnosis (TRI-POD) standards [14].

The GAAP and ASAP models, as described previously [11,15], use the following equations:

- 1. GAAP score =  $-11.203 + 0.699 \times [Sex (1 \text{ for male}, 0 \text{ for female})] + 0.094 \times [Age] + 1.076 \times log_{10} [AFP] +2.376 \times log_{10} [DCP].$
- 2. ASAP score =  $-7.57711770 + 0.04666357 \times [Age] -0.57611693 \times [Sex (1 for female, 0 for male)] + 0.42243533 \times ln[AFP] +1.10518910 \times ln[DCP].$



**Figure 1.** Study diagram. Firstly, 1151 participants screened form our hospital between July 2020 and July 2021, then 108 repeat participants, 103 participants with incomplete or missing data, and 2 participants with warfarin takers were excluded. So 938 participants, consisting of 262 patients with HCC (199 patients with HBV-HCC or 143 HCC patients with liver cirrhosis (LC)), 173 patients with LC (115 patients with HBV-LC), 393 patients with chronic hepatitis B (CHB), and 110 healthy controls (HC), were included in this study. Section A included 262 HCC, 393 CHB, and 173 LC patients and 110 HC. Section B included 199 HBV-HCC, 393 CHB, 173 LC, and 110 HC. Section C included 199 HBV-HCC, 393 CHB, 115 HBV-LC. Section D included 143 HCC with LC and 173 LC.

| Table 1  |
|--|
| Characteristics of the study subjects used to evaluate the GAAP and ASAP model |

| Characteristics     | HCC<br>(n=262)      | non-HCC<br>(n=676) | CHB<br>(n=393)    | HBV-HCC<br>(n=199)   | LC<br>(n=173)     | HBV-LC<br>(n=115) | non-HBV-LC<br>(n=58) | HC<br>(n=110)     | Ζ       | Р       | Н       | Р       |
|---------------------|---------------------|--------------------|-------------------|----------------------|-------------------|-------------------|----------------------|-------------------|---------|---------|---------|---------|
| Age                 | 61.0 (52.0, 68.0)   | 48.0 (40.0, 58.0)  | 45.0 (36.0, 53.0) | 58.0 (51.0, 68.0)    | 57.0 (49.0, 65.5) | 52.0 (46.0, 59.0) | 68.0 (60.2, 76.0)    | 51.5 (42.8, 64.0) | -10.606 | < 0.001 | 298.157 | < 0.001 |
| AFP (ng/mL)         | 6.2 (2.7, 162.6)    | 2.8 (2.0, 4.8)     | 2.6 (1.9, 3.7)    | 8.9 (2.8, 198.4)     | 3.5 (2.2, 7.2)    | 3.8 (2.2, 7.8)    | 3.2 (1.9, 6.1)       | 2.9 (2.1, 4.7)    | -9.328  | < 0.001 | 203.714 | < 0.001 |
| DCP(mAU/mL)         | 91.4 (25.2, 1534.7) | 25.0 (20.2, 31.4)  | 24.7 (20.4, 29.5) | 108.5 (25.2, 1608.8) | 25.2 (18.6, 37.1) | 25.2 (18.8, 34.1) | 26.7 (17.9, 49.4)    | 26.2 (21.9, 33.1) | -12.128 | < 0.001 | 256.228 | < 0.001 |
| ALT(U/L)            | 26.0 (18.0, 46.5)   | 27.0 (19.0, 45.0)  | 27.0 (19.0, 45.3) | 27.5 (19.0, 45.3)    | 30.0 (19.0, 43.0) | 31.0 (21.0, 43.0) | 27.0 (16.0, 44.8)    | 29.0 (19.0, 66.0) | 1.406   | 0.160   | 4.789   | 0.686   |
| AST(U/L)            | 35.0 (25.0, 63.5)   | 27.0 (21.0, 41.0)  | 25.0 (21.0, 33.0) | 36.0 (25.0, 62.0)    | 36.0 (25.3, 56.0) | 34.0 (24.3, 54.0) | 39.0 (30.5, 74.8)    | 25.5 (19.3, 54.8) | -5.400  | < 0.001 | 112.837 | < 0.001 |
| TBIL(mmol/L)        | 17.4 (12.4, 27.2)   | 16.0 (12.2, 23.5)  | 15.4 (11.8, 20.4) | 17.2 (12.3, 24.7)    | 20.3 (14.8, 34.3) | 19.4 (14.8, 29.8) | 25.4 (14.4, 48.3)    | 14.6 (11.1, 24.7) | -1.456  | 0.145   | 60.812  | < 0.001 |
| $PLT(\times 109/L)$ | 126.0               | 159.0              | 180.0             | 120.0                | 101.0             | 101.0             | 100.0                | 207.5             | 4.542   | < 0.001 | 171.460 | < 0.001 |
|                     | (89.0, 175.0)       | (10.2, 208.0)      | (146.0, 220.0)    | (80.3, 172.8)        | (56.0, 145.0)     | (51.0, 146.0)     | (62.8, 144.0)        | (159.0, 263.5)    |         |         |         |         |

Mann-Whitney U-tests were used for comparison HCC group with non-HCC group; Kruskal-wallis H-tests were used for comparisons among groups. The P value represents the statistical difference between two groups or among multiple groups. Z and H values represent the statistics of Mann-Whitney U-tests and Kruskal-wallis H-tests, respectively.

Note: AFP showed alpha-fetoprotein, DCP showed abnormal prothrombin, ALT showed alanine aminotransferase, AST showed aspartic acid aminotrans- ferase, ALP showed Alkaline phosphatase, TB showed total bilirubin, PLT showed platelet, HCC showed hepatocellular carcinoma, CHB showed chronic hepatitis B, HBV-HCC showed HBV-related hepatocellular carcinoma, LC showed liver cirrhosis, HBV-LC showed HBV-related liver cirrhosis.

groups **Figure 2.** Serum AFP and DCP in HCC and non-HCC groups. Comparison of AFP and DCP among HCC, HBV-related HCC, Cirrhosis, HBV-related cirrhosis, chronic hepatitis B, and HC groups. The three horizontal bars represent median with interquartile range values. For AFP and DCP, Mann-Whitney U-tests were used for comparisons among < 0.001, 4\*\* < 0.01 ÷ <0.05, ns P>0.05



BER: 2021QT280)

authorized the investigation, which attests to this (APPROVAL NUM-Helsinki's ethical principles were followed by the research design. The ethics committee of the Zhejiang Provincial People's Hospital informed consent criteria was authorized. The 1975 Declaration of Due to the study's retrospective character, an exemption from the

2.5. Ethical statement

# 2.4. Statistical analysis

Annals of Hepatology 28 (2023) 101099

cant. and calibration plots were used to evaluate it [16]. Binary logistic on the Youden index. The area under the curve (AUC) for AFP and mine differences. demographic data, and the chi-square test was employed to deterversion 24.0. Categorical variables were reported as percentages for ing GraphPad Prism version 8.0.1, MedCalc version 18.2.1, and SPSS Hosmer-Lemeshow analysis was employed to calibrate the model, The Delong test was used to compare different AUC values. The accuracy, three metrics were used: AUC, sensitivity, and specificity. tors was calculated using ROC curves [10]. To evaluate diagnostic DCP utilized in conjunction with the GAAP or ASAP model as predic-DCP 40 mAU/mL, the best cut-off values were calculated depending based on clinical criteria and were, respectively, AFP 20 ng/ml and Wallis H-test. Except for AFP and DCP, where the cut-off values were were assessed using either the Mann-Whitney U-test or the Kruskalprovided as median and interquartile range values, and variations DCP results. At P  $\leq$  0.05, variations were deemed statistically signifi-HBV-HCC to assess the diagnostic precision of the combined AFP and regression was employed to anticipate the probability of HCC and Program for the analyses, graphics, or both was created employ-Continuous skewed distribution variables were

## Table 2 AFP, DCP and 2 models for the diagnosis of HCC and HBV-HCC in the whole population

| Section A           |                  |                           |                      |               |               |                                     |       |       |                     | Section B     |                           |                      |               |               |                                     |       |       |  |  |
|---------------------|------------------|---------------------------|----------------------|---------------|---------------|-------------------------------------|-------|-------|---------------------|---------------|---------------------------|----------------------|---------------|---------------|-------------------------------------|-------|-------|--|--|
| Model/<br>biomarker | Cut-off<br>value | AUC (95% CI)              | P value<br>(VS GAAP) | sensitivity,% | specificity,% | Calibration<br>of model,<br>P value | PPV%  | NPV%  | Model/<br>biomarker | Cut-off value | AUC (95% CI)              | P value<br>(VS GAAP) | sensitivity,% | specificity,% | Calibration<br>of model,<br>P value | PPV%  | NPV%  |  |  |
| AFP                 | 20ng/ml          | 0.655<br>(0.624 to 0.686) | P < 0.0001           | 37.40         | 93.64         | 1                                   | 69.50 | 79.42 | AFP                 | 20ng/ml       | 0.663<br>(0.632 to 0.693) | P < 0.0001           | 40.70         | 91.88         | /                                   | 57.45 | 85.19 |  |  |
| DCP                 | 40 mAU/mL        | 0.746<br>(0.717 to 0.774) | P < 0.0001           | 59.92         | 89.35         | 1                                   | 68.56 | 85.19 | DCP                 | 40 mAU/mL     | 0.741<br>(0.711 to 0.768) | P < 0.0001           | 62.31         | 85.79         | 1                                   | 54.15 | 89.42 |  |  |
| AFP&DCP             | 0.19774          | 0.781<br>(0.753 to 0.807) | P < 0.0001           | 67.56         | 81.95         | 1                                   | 59.19 | 86.70 | AFP&DCP             | 0.09025       | 0.773<br>(0.745 to 0.799) | P < 0.0001           | 68.34         | 80.11         | 1                                   | 48.07 | 90.38 |  |  |
| ASAP                | -0.43923         | 0.850<br>(0.826 to 0.872) | P = 0.0077           | 72.52         | 82.99         | 0.486                               | 62.30 | 88.63 | ASAP                | -0.22321      | 0.829<br>(0.804 to 0.853) | P = 0.1080           | 69.85         | 81.73         | 0.017                               | 50.73 | 90.96 |  |  |
| GAAP                | -0.79952         | 0.862<br>(0.838 to 0.883) | 1                    | 74.43         | 81.36         | 0.179                               | 60.75 | 89.14 | GAAP                | -0.81783      | 0.837<br>(0.812 to 0.861) | 1                    | 75.88         | 76.45         | 0.020                               | 46.46 | 92.17 |  |  |

CI, confdence interval; NPV, negative prediction value; PPV, positive prediction value.

#### Table 3

AFP, DCP and 2 models for the diagnosis of HCC with HBV etiology or LC.

| Section C           |                  |                       |                      |                |                |                                     |       |       | Section D           |               |                       |                      |                |               |                                     |       |       |  |
|---------------------|------------------|-----------------------|----------------------|----------------|----------------|-------------------------------------|-------|-------|---------------------|---------------|-----------------------|----------------------|----------------|---------------|-------------------------------------|-------|-------|--|
| Model/<br>biomarker | Cut-off<br>value | AUC (95% CI)          | P value<br>(VS GAAP) | sensitivity, % | specificity, % | Calibration<br>of model,<br>P value | PPV%  | NPV%  | Model/<br>biomarker | Cut-off value | AUC (95% CI)          | P value<br>(VS GAAP) | sensitivity, % | specificity,% | Calibration<br>of model,<br>P value | PPV%  | NPV%  |  |
| AFP                 | 20ng/ml          | 0.668(0.632 to 0.703) | P < 0.0001           | 40.70          | 92.91          | 1                                   | 69.22 | 80.00 | AFP                 | 20ng/ml       | 0.645(0.589 to 0.698) | P < 0.0001           | 40.56          | 88.44         | 1                                   | 74.36 | 64.29 |  |
| DCP                 | 40 mAU/mL        | 0.773(0.740 to 0.804) | P < 0.0001           | 62.31          | 92.32          | 1                                   | 76.07 | 86.21 | DCP                 | 40 mAU/mL     | 0.744(0.692 to 0.791) | P = 0.0022           | 71.33          | 77.46         | Ì                                   | 72.34 | 76.58 |  |
| AFP&DCP             | 0.18461          | 0.784(0.751 to 0.813) | P < 0.0001           | 67.84          | 89.96          | /                                   | 72.58 | 87.71 | AFP&DCP             | 0.09025       | 0.785(0.736 to 0.829) | P = 0.2394           | 68.34          | 80.11         | 1                                   | 73.96 | 75.38 |  |
| ASAP                | -0.43923         | 0.878(0.852 to 0.902) | P = 0.0006           | 72.86          | 87.99          | 0.455                               | 70.39 | 89.22 | ASAP                | -0.22321      | 0.815(0.768 to 0.857) | P = 0.1336           | 69.85          | 81.73         | 0.132                               | 75.96 | 76.63 |  |
| GAAP                | -0.79952         | 0.897(0.872 to 0.918) | 1                    | 75.38          | 88.19          | 0.428                               | 71.43 | 90.14 | GAAP                | -0.81783      | 0.805(0.757 to 0.847) | 1                    | 75.88          | 76.45         | 0.029                               | 72.70 | 79.32 |  |

#### 3. Results

#### 3.1. Characteristics of the Study Participants

Typically, 938 patients were eligible and analyzed using GAAP and ASAP models. Table 1 displays the medical and demographic information about the groups. Individuals with HCC were older than participants without HCC (P <0.001). In comparison to the non-HCC group, the HCC group's serum levels of AFP, DCP, AST, ALP, and PLT were greater (<P 0.001). There were substantial alterations in the serum concentrations of AFP, DCP, AST, ALP, TB, and PLT among the groups of patients (P<0.001). The serum concentrations of DCP and AFP in the participants are shown in Figure 2. The serum concentrations of DCP and and HBV-HCC groups than in other groups (CHB, LC, HBV-LC, HC) (P<0.05).

## 3.2. Performance of GAAP and ASAP Models for the detection of HCC and HBV-HCC

In Table 2 and Table 3, section A included 262 HCC, 393 CHB, 173 LC patients, and 110 HC. Section B included 199 HBV-HCC, 393 CHB, 173 LC, and 110 HC. Section C included 199 HBV-HCC, 393 CHB, and 115 HBV-LC. Section D included 143 HCC with LC and 173 LC. Both models had greater AUC values than the patient markers DCP, AFP, and AFP&DCP in the population of sections A to D (Figure 3A-D). The AUC of the GAAP model for HCC detection in the population of section A was 0.862 (95% confidence interval [CI): 0.838-0.883] which was superior to that of AFP (0.655, p<0.0001), DCP (0.746, p<0.0001), AFP&DCP (0.781, p<0.0001), and the ASAP model (0.850, p=0.0077) (Table 2; Figure 3A). At an optimal cut-off of -0.7995, the GAAP score

had a sensitivity of 74.43% and a specificity of 81.36% for HCC detection. The AUC of the GAAP model for HBV-HCC detection in the population of section C was 0.897 (95% confidence interval (CI): 0.872-0.918) which was superior to that of AFP (0.668, p<0.0001), DCP (0.773, p<0.0001), AFP and DCP (0.784, p<0.0001), and the ASAP model (0.878, p=0.0006) (Table 3; Figure 3C). At an optimal threshold of -0.7995, the GAAP score had a sensitivity of 75.38% and a specificity of 88.19% for HBV-HCC detection. The Hosmer-Lemeshow test showed that the GAAP and ASAP models had a good calibration for the identification of HCC in the people of Section A (P=0.179 for GAAP; P=0.486 for ASAP) and HBV-HCC in the population of section C (P=0.428 for GAAP; P=0.455 for ASAP). The GAAP model and the ASAP model had a poor calibration for the determination of HBV-HCC in the participants of section B (P=0.020 for GAAP; P=0.017 for ASAP), and for the identification of HCC in the people of section D (P=0.029 for GAAP; P=0.132 for ASAP) (Supplementary Figure S1). These findings showed that the GAAP model achieved a better performance in section A for the detection of HCC and in section C for the detection of HBV-HCC than the ASAP model.

#### 4. Discussion

Early identification of liver cancer is vitally important to improve treatment efficacy and survival outcomes [17]. Currently, biomarkers that are commonly used in early HCC diagnosis are AFP, DCP, and AFP-L3 [18]. DCP was first discovered in Japan and then applied in the clinical diagnosis and screening of liver malignancy [19]. When the threshold was established at 40 mAU/mL, it was shown that DCP had a sensitivity of 66% and a specificity of 89% in identifying HCC in a meta-analysis including 31 trials [20]. Furthermore, it has been



Figure 3. ROC curves of AFP, DCP, AFP&DCP, GAAP and ASAP models for the diagnosis of HCC and HBV-HCC. (A): Discriminating HCC in the whole population; (B): Discriminating HBV-HCC in the whole population; (C): Discriminating HBV-HCC within HBV etiology; (D): Discriminating HCC in the LC population.

observed that DCP is more effective than AFP in diagnosing liver cancer. Compelling evidence was exhibited by a multi-center retrospective study on primary HCC [21], with an AUC of 0.939 for DCP and 0.817 for AFP (p<0.05). According to a meta-analysis, AFP-L3 had a sensitivity and specificity of 48.3% and 92.9%, respectively, in the diagnosis of HCC [22]. As AFP-L3% and AFP are cooperative and complementary, a combination of the two fails to largely increase the diagnostic efficiency for liver cancer [23]. Moreover, such a strategy is limited by instability in testing, high cost, and other issues.

We also discovered that DCP had better ROC-AUC values than AFP in the present investigation for both HCC (0.746 vs 0.655, with a sensitivity and specificity of 59.92% and 89.35%) and HBV-HCC (0.773 vs 0.668, with a sensitivity and specificity of 62.31% and 92.32). Notably, the combination of DCP and AFP contributed to higher ROC-AUC values in the populations of HCC (0.781) and HBV-HCC (0.784), and the sensitivity and specificity increased to 67.56%, 67.84%, and 81.95%, 89.96%, respectively. These outcomes suggested that the mixture of DCP and AFP can make tumor detection more reliable.

Recently, studies showed that models based on AFP, DCP, and other clinical features, can be used to predict HCC. For instance, Johnson et al. developed the GALAD model to identify liver cancer in a UK cohort depending on sex, age, AFP-L3, AFP, and DCP. They found that the model's sensitivity and specificity were 85.6% and 93.3%, respectively [24]. In contrast to the GALAD model, both the GAAP and ASAP models, do not contain AFP-L3, which may be economic and practicable [11]. Importantly, the ASAP and GAAP models were respectively applied to risk prediction in two Chinese populations: HBV-HCC in individuals with CHB or LC, and HCC in patients with chronic liver disease [11,15]. Because the two models are not validated and compared using other external data, so GAAP and ASAP models were validated and compared using a retrospective study of 938 cases from our hospital. As we know, discrimination and calibration are two recognized factors that are frequently used in the judgment of a predictive model for practicability and accuracy in differentiating between cohorts of different outcomes [25]. Generally, the validation of a model includes three aspects: internal, time, and external, with external validation considered the most efficient in identifying the practicability of a model [26]. Here, external validation was adopted, and we found the GAAP model was superior to the ASAP model and AFP&DCP in predicting HBV-HCC in individuals with CHB or HBV-LC, as well as in predicting HCC in the group of subjects with chronic liver disease. In addition, the Hosmer-Lemeshow test showed that the GAAP and ASAP models were well-calibrated for the recognition of HCC in the population of section A and HBV-HCC in the population of section C. Therefore, these findings indicated that the GAAP model was more effective than the ASAP model and AFP&DCP, in the population of section A for the identification of HCC and in the population of section C for the detection of HBV-HCC. However, the GAAP model and the ASAP model had a poor calibration for the recognition of HBV-HCC in the population of section B, and for the detection of HCC in the population of section D, indicating the GAAP and ASAP models are not available for the diagnosis of these two groups.

This investigation has some restrictions. For example, this was a single-center retrospective analysis with small sample size, and tumor size and phase were not stratified. In the future, retrospective or prospective multi-center large sample investigations are necessary to further verify the prediction ability of the ASAP and GAAP models.

#### 5. Conclusions

In this study, the diagnostic efficacies of the ASAP and GAAP models were externally verified, and the results showed that the GAAP model was more effective than the AFP, DCP, AFP&DCP, as well as the ASAP model in the whole population for the identification of HCC and in the HBV subset for the detection of HBV-HCC.

### Funding

This work was funded by Zhejiang Province Natural Science Foundation of China (Grant No. LYY21H310008 and No. LYY19H310009), Zhejiang Medical Technology Plan Project (Grant No.2022KY060, No.2020KY034, No.2019KY319), and the "10,000 Talents Plan" of Zhejiang Province (Grant No. 2020R52029).

#### Data sharing statement

This article has all the data that were created or evaluated during this investigation.

#### **Author contributions**

(I) Conception and design: (ZX.X, P.H); (II) Administrative support: (ZX.X, P.H); (III) Provision of trial materials or cases: (XL.Y, YF.S, HY.Z, JY.J); (IV) Gathering and assembly of information: (YW.C, ZX.X); (V) Data examination and interpretation: (YW.C, Y.L, ZX.X); (VI) Manuscript writing: All authors; (VII) Final authorization of manuscript: All authors.

#### **Declaration of Competing Interest**

None.

#### Supplementary materials

Supplementary material associated with this article can be found in the online version at doi:10.1016/j.aohep.2023.101099.

#### References

- [1] Sung H, Ferlay J, Siegel RL, Laversanne M, Soerjomataram I, Jemal A, et al. Global Cancer Statistics 2020: GLOBOCAN Estimates of Incidence and Mortality Worldwide for 36 Cancers in 185 Countries. CA Cancer J Clin 2021;71(3):209–49. https://doi.org/10.3322/caac.21660.
- [2] Fu J, Wang H. Precision diagnosis and treatment of liver cancer in China. Cancer Lett 2018;412:283–8. https://doi.org/10.1016/j.canlet.2017.10.008.
- [3] Marrero JA, Kulik LM, Sirlin CB, Zhu AX, Finn RS, Abecassis MM, et al. Diagnosis, Staging, and Management of Hepatocellular Carcinoma: 2018 Practice Guidance by the American Association for the Study of Liver Diseases. Hepatology 2018;68 (2):723–50. https://doi.org/10.1002/hep.29913.
- [4] Ayuso C, Rimola J, Vilana R, Burrel M, Darnell A, García-Criado Á, et al. Diagnosis and staging of hepatocellular carcinoma (HCC): current guidelines. Eur J Radiol 2018;101:72–81. https://doi.org/10.1016/j.ejrad.2018.01.025.
- [5] Galle PR, Foerster F, Kudo M, Chan SL, Llovet JM, Qin S, et al. Biology and significance of alpha-fetoprotein in hepatocellular carcinoma. Liver Int 2019;39 (12):2214–29. https://doi.org/10.1111/liv.14223.
- [6] Zakhary NI, Khodeer SM, Shafik HE, Abdel Malak CA. Impact of PIVKA-II in diagnosis of hepatocellular carcinoma. J Adv Res 2013;4(6):539–46. https://doi.org/ 10.1016/j.jare.2012.10.004.
- [7] Poté N, Cauchy F, Albuquerque M, Voitot H, Belghiti J, Castera L, et al. Performance of PIVKA-II for early hepatocellular carcinoma diagnosis and prediction of microvascular invasion. J Hepatol 2015;62(4):848–54. https://doi.org/10.1016/j. jhep.2014.11.005.
- [8] Kotwani P, Chan W, Yao F, Mehta N. DCP and AFP-L3 Are Complementary to AFP in Predicting High-Risk Explant Features: Results of a Prospective Study. Clin Gastroenterol Hepatol 2021. https://doi.org/10.1016/j.cgh.2021.01.043.
- [9] Liu M, Wu R, Liu X, Xu H, Chi X, Wang X, et al. Validation of the GALAD Model and Establishment of GAAP Model for Diagnosis of Hepatocellular Carcinoma in Chinese Patients. J Hepatocell Carcinoma 2020;7:219–32. https://doi.org/10.2147/ jhc.S271790.
- [10] Li B, Zhao Y, Cai W, Ming A, Li H. Validation and update of a multivariable prediction model for the identification and management of patients at risk for hepatocellular carcinoma. Clin Proteomics 2021;18(1):21. https://doi.org/10.1186/ s12014-021-09326-w.
- [11] Yang T, Xing H, Wang G, Wang N, Liu M, Yan C, et al. A Novel Online Calculator Based on Serum Biomarkers to Detect Hepatocellular Carcinoma among Patients with Hepatitis B. Clin Chem 2019;65(12):1543–53. https://doi.org/10.1373/ clinchem.2019.308965.
- [12] Hou JL, lai W. [The guideline of prevention and treatment for chronic hepatitis B: a 2015 update]. Zhonghua Gan Zang Bing Za Zhi 2015;23(12):888–905. https:// doi.org/10.3760/cma.j.issn.1007-3418.2015.12.002.

- [13] Wei L, Hou JL. [The guideline of prevention and treatment for hepatitis C: a 2015 update]. Zhonghua Gan Zang Bing Za Zhi 2015;23(12):906–23. https://doi.org/ 10.3760/cma.j.issn.1007-3418.2015.12.003.
- [14] Patzer RE, Kaji AH, Fong Y. TRIPOD Reporting Guidelines for Diagnostic and Prognostic Studies. JAMA Surg 2021;156(7):675-6. https://doi.org/10.1001/ jamasurg.2021.0537.
- [15] Johnson PJ, Pirrie SJ, Cox TF, Berhane S, Teng M, Palmer D, et al. The detection of hepatocellular carcinoma using a prospectively developed and validated model based on serological biomarkers. Cancer Epidemiol Biomarkers Prev 2014;23 (1):144–53. https://doi.org/10.1158/1055-9965.Epi-13-0870.
- [16] Nattino G, Pennell ML, Lemeshow S. Assessing the goodness of fit of logistic regression models in large samples: A modification of the Hosmer-Lemeshow test. Biometrics 2020;76(2):549–60. https://doi.org/10.1111/biom.13249.
- [17] Zhu Y, Qin LX. Strategies for improving the efficacy of immunotherapy in hepatocellular carcinoma. Hepatobiliary Pancreat Dis Int 2022;21(5):420–9. https://doi. org/10.1016/j.hbpd.2022.08.003.
- [18] Yamamoto K, Imamura H, Matsuyama Y, Kume Y, Ikeda H, Norman GL, et al. AFP, AFP-L3, DCP, and GP73 as markers for monitoring treatment response and recurrence and as surrogate markers of clinicopathological variables of HCC. J Gastroenterol 2010;45(12):1272–82. https://doi.org/10.1007/s00535-010-0278-5.
- [19] Suehiro T, Matsumata T, Itasaka H, Taketomi A, Yamamoto K, Sugimachi K. Desgamma-carboxy prothrombin and proliferative activity of hepatocellular carcinoma. Surgery 1995;117(6):682–91. https://doi.org/10.1016/s0039-6060(95)80013-1.

- [20] Xing H, Zheng YJ, Han J, Zhang H, Li ZL, Lau WY, et al. Protein induced by vitamin K absence or antagonist-II versus alpha-fetoprotein in the diagnosis of hepatocellular carcinoma: A systematic review with meta-analysis. Hepatobiliary Pancreat Dis Int 2018;17(6):487–95. https://doi.org/10.1016/j.hbpd.2018.09.009.
- [21] Choi JY, Jung SW, Kim HY, Kim M, Kim Y, Kim DG, et al. Diagnostic value of AFP-L3 and PIVKA-II in hepatocellular carcinoma according to total-AFP. World J Gastroenterol 2013;19(3):339–46. https://doi.org/10.3748/wjg.v19.i3.339.
- [22] Yi X, Yu S, Bao Y. Alpha-fetoprotein-L3 in hepatocellular carcinoma: a meta-analysis. Clin Chim Acta 2013;425:212–20. https://doi.org/10.1016/j.cca.2013.08.005.
- [23] Qi F, Zhou A, Yan L, Yuan X, Wang D, Chang R, et al. The diagnostic value of PIVKA-II, AFP, AFP-L3, CEA, and their combinations in primary and metastatic hepatocellular carcinoma. J Clin Lab Anal 2020;34(5):e23158. https://doi.org/10.1002/ jcla.23158.
- [24] Berhane S, Toyoda H, Tada T, Kumada T, Kagebayashi C, Satomura S, et al. Role of the GALAD and BALAD-2 Serologic Models in Diagnosis of Hepatocellular Carcinoma and Prediction of Survival in Patients. Clin Gastroenterol Hepatol 2016;14 (6):875–86 e6. https://doi.org/10.1016/j.cgh.2015.12.042.
- [25] Chiu JS, Yu FC, Li YC. Discrimination and calibration are concurrently required for model comparison. Int J Cardiol 2006;112(2):245–6. https://doi.org/10.1016/j. ijcard.2005.07.038.
- [26] Matheny ME, Ohno-Machado L, Resnic FS. Discrimination and calibration of mortality risk prediction models in interventional cardiology. J Biomed Inform 2005;38(5):367–75. https://doi.org/10.1016/j.jbi.2005.02.007.