



Original

# Clinical characteristics of confirmed and clinically diagnosed patients with 2019 novel coronavirus pneumonia: a single-center, retrospective, case-control study



Chunjin Ke<sup>a,1</sup>, Chong Yu<sup>b,1</sup>, Daoyuan Yue<sup>c</sup>, Xing Zeng<sup>a</sup>, Zhiqian Hu<sup>a,\*</sup>, Chunguang Yang<sup>a,\*\*</sup>

<sup>a</sup> Department of Urology, Tongji Hospital, Tongji Medical College, Huazhong University of Science and Technology, Wuhan, China

<sup>b</sup> Department of Nephrology, Tongji Hospital, Tongji Medical College, Huazhong University of Science and Technology, Wuhan, China

<sup>c</sup> Department of Clinical Laboratory, Tongji Hospital, Tongji Medical College, Huazhong University of Science and Technology, Wuhan, China

ARTICLE INFO

Article history:

Received 13 May 2020

Accepted 24 June 2020

Available online 28 July 2020

Keywords:

SARS-CoV-2

COVID-19

Confirmed

Clinically diagnose

Coronavirus

Clinical Characteristics

Prognosis

Leucocyte

Cytokine

ABSTRACT

**Background:** Novel coronavirus disease 2019 (COVID-19) is an emerging infectious disease caused by SARS-CoV-2. At the peak of the outbreak in Wuhan (January and February), there are two types of COVID-19 patients: laboratory confirmation and clinical diagnosis. This study aims to compare and analyze the clinical outcomes and characteristics of confirmed and clinically diagnosed COVID-19 patients to determine whether they are of the same type and require equal treatment. More importantly, the prognostic factors of COVID-19 patients are explored.

**Methods:** A total of 194 hospitalized patients with COVID-19 pneumonia were retrospectively studied. Demographic data, clinical characteristics, laboratory results and prognostic information were collected by electronic medical record system and analyzed.

**Results:** Among 194 subjects included, 173 were confirmed and 21 were clinically diagnosed. There were no significant differences in clinical outcomes (mortality rate 39[22.54%] vs 7[33.33%,  $P=0.272$ ] and hospital stay (19.00 vs 16.90 days,  $P=0.411$ ) between the confirmed and clinically diagnosed group, and prognostic factors were similar between them. Older age, lower albumin levels, higher serum Lactate dehydrogenase (LDH) levels, higher D-D levels, longer prothrombin time (PT), higher IL-6 levels, lower T cells indicated poor prognosis in patients with COVID-19 pneumonia. NK cell has the highest AUC among all measured indicators ( $\text{NK AUC}=0.926$ ,  $P<0.001$ ).

**Conclusion:** Laboratory-confirmed and clinically diagnosed COVID-19 patients are similar in clinical outcomes and most clinical characteristics. They are of the same type and require equal treatment. Age, AST, LDH, BUN, PT, D-D, IL6, white blood cell and neutrophil counts, T cell and T cell subset counts can efficiently predict clinical outcomes.

© 2020 Elsevier España, S.L.U. All rights reserved.

## Características clínicas de pacientes confirmados y diagnosticados clínicamente con neumonía por el nuevo coronavirus 2019: Un estudio de control de casos, retrospectivo y unicéntrico

RESUMEN

Palabras clave:

SARS-CoV-2

COVID-19

Confirmado

Diagnóstico clínico

**Antecedentes:** El nuevo coronavirus 2019 (COVID-19) es una nueva enfermedad infecciosa causada por el virus SARS-CoV-2. Durante el pico del brote en Wuhan (enero y febrero 2020), se detectaron dos tipos de pacientes portadores del COVID-19: pacientes confirmados a través de pruebas de laboratorio y pacientes

\* Corresponding author.

\*\* Corresponding author.

E-mail addresses: [huzhiquan2000@163.com](mailto:huzhiquan2000@163.com) (Z. Hu), [cgyang-hust@hotmail.com](mailto:cgyang-hust@hotmail.com) (C. Yang).

<sup>1</sup> These two authors contributed equally to this work.

Coronavirus  
Características clínicas  
Pronóstico  
Leucocito  
Citocina

confirmados por diagnóstico clínico. El objetivo de este estudio es comparar y analizar los resultados clínicos y las características de los pacientes con COVID-19 confirmados y clínicamente diagnosticados para determinar si son del mismo tipo y si necesitan el mismo tratamiento. El estudio es importante también para explorar los factores pronósticos de los pacientes con COVID-19.

**Métodos:** Un total de 194 pacientes hospitalizados con neumonía COVID-19 fueron estudiados retrospectivamente. Se utilizó un sistema de registro médico electrónico para recopilar los datos demográficos, las características clínicas, los resultados de laboratorio y la información pronóstica, para luego ser analizada.

**Resultados:** De los 194 pacientes incluidos, 173 dieron positivo y 21 fueron diagnosticados clínicamente. No se presentaron diferencias significativas en los resultados clínicos (tasa de mortalidad 39 [22,54%] vs. 7 [33,33%, p = 0,272] y la estancia hospitalaria (19,00 vs. 16,90 días, p = 0,411) entre el grupo de confirmados y el grupo diagnosticado clínicamente, y los factores pronósticos fueron similares entre ellos. Edad avanzada, niveles más bajos de albúmina, niveles más altos de lactato deshidrogenasa (LDH) en suero, niveles más altos de D-D, mayor tiempo de protrombina (PT), altos niveles de IL-6, células T más bajas indicaban mal pronóstico en pacientes con neumonía por COVID-19. La célula NK tiene el AUC más alto entre todos los indicadores medidos (NK AUC = 0,926, p < 0,001).

**Conclusión:** Los grupos de pacientes COVID-19 confirmados en laboratorio y diagnosticados clínicamente arrojan resultados clínicos similares y tienen la mayoría de las características clínicas. Son del mismo tipo y requieren el mismo tratamiento. La edad, AST, LDH, BUN, PT, D-D, IL6, los recuentos de glóbulos blancos y neutrófilos, recuentos de subgrupos de células T y células T pueden predecir los resultados clínicos de forma eficaz.

© 2020 Elsevier España, S.L.U. Todos los derechos reservados.

## Introduction

The outbreak of the novel coronavirus, severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), that first emerged in Wuhan in December 2019, has rapidly spread throughout China in the past two months.<sup>1,2</sup> At present, a global epidemic has been formed. As of March 31, 2020, the World Health Organization announced 719,758 confirmed cases of COVID-19 pneumonia and 33,673 deaths worldwide.<sup>3</sup>

At the peak of the outbreak in Wuhan (January and February), there are two types of COVID-19 patients: laboratory confirmation and clinical diagnosis. This was because the positive rate of nucleic acid test was low and antibody test was not mature. Some patients were diagnosed with COVID-19 mainly by imaging (lung CT) and exposure history, until death or discharge was not confirmed. Currently, there have been numerous studies on the epidemiology, toxicology, diagnosis, treatment and prognosis of COVID-19.<sup>1,4–8</sup> Studies have reported that elderly people with multiple underlying diseases are more likely to be infected and have a worse prognosis.<sup>2,7,9</sup> Cytokine storms, lymphocyte subset counts, and hyperviremia are important predictors of disease progression and poor prognosis.<sup>10,11</sup> However, comparative studies on confirmed and clinically diagnosed cases are currently limited. This study aims to compare and analyze the clinical outcomes and characteristics of confirmed and clinically diagnosed COVID-19 patients to determine whether they are of the same type and require equal treatment. More importantly, the prognostic factors and predictive value of COVID-19 patients are explored.

## Methods

### Ethical approval

This study was approved by the Institutional Ethics Board of Tongji Hospital, Tongji Medical College, Huazhong University of Science and Technology (IRB ID: TJ-IRB20200343), and all relevant personnel exempt from informed consent due to the particularity of the disease outbreak.

### Study design and participants

Prior to the design of this study, scientific research cooperation was requested with relevant departments. We retrospectively

recruited totally 194 patients between February 5, 2020 and March 11, 2020 in four wards of Tongji hospital, the largest comprehensive medical treatment center of the central China and "the specific hospital for the treatment of severe patients with COVID-19 in Wuhan" designated by the government. Among them, 173 were confirmed patients and 21 were clinically diagnosed patients. Confirmation criteria: 1). positive throat swab nucleic acid test by Real-time fluorescent RT-PCR detection of new coronavirus nucleic or positive in specific antibodies; 2). clinical manifestations of fever and/or respiratory symptoms; 3). chest radiographic evidence of viral pneumonia. Clinical diagnostic criteria: 1). epidemiological contact history; 2). clinical manifestations of fever and/or respiratory symptoms; 3). chest radiographic evidence of viral pneumonia; 4). nucleic acid test negative and specific antibody test negative till March 11, 2020; 5). negative for influenza virus antibodies. Demographic data, clinical characteristics, laboratory results and prognostic information were collected by tracking and inquiring the electronic medical record system, then the data were compared and analyzed. In the prognosis analysis, patients who were discharged from hospital and those who were hospitalized were included in survival group, and those who died were divided into died group. The discharge criteria are defined as: 1). The body temperature returns to normal for 3 days; 2). Respiratory symptoms improved significantly; 3). Pulmonary imaging shows a marked improvement in acute exudative lesions; 4). Negative nucleic acid test of sputum, nasopharyngeal swabs and other respiratory specimens for two consecutive times (sampling interval at least 24 hours).

### Data Collection

Data entry and management was handled by a trained team of physicians and medical graduates. Clinical, laboratory, radiological results and prognostic information were collected from electronic medical records. Date was obtained with standardized forms for all subjects involved. Laboratory results included blood routine, blood biochemistry, infection-related markers (such as cytokines, procalcitonin, etc.), and lymphocyte subsets.

### Statistical analysis

Descriptive analyses of the continuous variables were expressed as mean or median with interquartile range (IQR). Categorical

variables were described as frequency rates and percentages. Differences in continuous variables were analyzed using t tests when normalized distributed, otherwise the Mann-Whitney test. Categorical variables were compared using the  $\chi^2$  test. The Fisher exact test was used when the data were limited. Multiple logistic regression was used to evaluate the independent prognostic factors. The ROC curve was used to analyze the predictive factors and the area under the ROC curve (AUC) was calculated. AUC>0.75 was deemed as having a good prognostic value. Sample size varied because of missing data. The analyses regarding different factors were based on non-missing data, and missing data were not imputed. Time to events (death) were defined as the time from hospital admission to events. All statistical analyses were performed with SPSS, version 23.0 (IBM SPSS). A 2-sided  $\alpha$  of less than 0.05 was considered statistically significant.

## Results

### Demographics and Characteristics

A total of 194 patients were included in this study (Table 1), including 173 confirmed cases and 21 clinically diagnosed cases. There were 115 males and 79 females with a median age of 64 years (IQR, 54.00–71.25). 135 (69.59%) patients had comorbidities, including hypertension ( $n=73$ [37.63%]), diabetes ( $n=39$ [20.10%]), cardiovascular disease ( $n=18$ [9.28%]), COPD ( $n=11$ [5.67%]), malignancy ( $n=13$ [6.70%]), chronic renal disease ( $n=13$ [6.7%]) and others. Compared with the confirmed group, there were more patients with clinical history of malignant tumors and chronic renal failure in clinically diagnosed group (19.05% vs 5.20%,  $P=0.038$ ; 19.05% vs 5.20%,  $P=0.038$ ). The chief complaints were fever ( $n=139$ [71.65.7%]), cough ( $n=31$ [15.98%]), dyspnea ( $n=12$ [6.19%]) and other uncommon symptoms ( $n=12$ [6.19%]), such as gastrointestinal symptoms including diarrhea, nausea. Of 194 patients, 148(76.29%) cases were positive for nucleic acid detection, 25(12.89%) cases were positive for SARS-CoV-2 antibody, and 181(93.30%) patients were detected bilateral involvement in chest CT or X-ray images. The median hospitalized duration was 18.77 (10.0–27.0) days. There were no significant differences in the gender, age, hypertension, diabetes, and length of hospital stay between the confirmed and clinically diagnosed groups.

### Laboratory parameters on admission in confirmed and clinically diagnosed group

Table 2 presents the laboratory findings in patients. Of 194 patients who underwent laboratory examinations on admission, there were no differences in blood cell counts, infection related biomarkers, lymphocyte subsets between confirmed and clinically diagnosed group. But, clinically diagnosed cases tend to have higher blood urea nitrogen (25.57 vs 6.73 mmol/L,  $P=0.045$ ), D-dimer (6.40 vs 3.12 ug/mL,  $P=0.016$ ), BNP (8702.67 vs 1873.17 pg/mL,  $P=0.009$ ), longer prothrombin time (16.58 vs 14.46 seconds,  $P=0.019$ ). Compared with confirmed group, clinically diagnosed cases demonstrated reduced levels of hemoglobin (104.86 vs 126.86 g/L,  $P<0.001$ ), IgM (3.41 vs 104.42 AU/ml,  $P<0.001$ ), and IgG (5.54 vs 203.03 AU/ml,  $P<0.001$ ).

### Comparison of related parameters on admission between survival and died groups

In this study, patients who were discharged from hospital or were still hospitalized were divided into one group (survival), and deaths were treated as another group (died). As shown in Table 3, 46 of the 194 patients died, 39 in the confirmed group and 7 in the clinically diagnosed group. There were no differences in clinical

endpoints between the confirmed and clinically diagnosed groups (39[22.54%] vs 7[33.33%],  $P=0.272$ ). People who died were significantly older (69.76 years [SD 10.00] vs 60.30 years [SD 14.56],  $P<0.001$ ), more likely to have malignancies (6[13.04%] vs 7[4.73%],  $P=0.049$ ), while other variables (i.e. gender, hypertension, diabetes, cardiovascular disease, COPD, chronic renal disease, hospital stay) were similar between two groups. Died patients had significant elevated leucocyte count and neutrophil count, but decreased lymphocyte counts on admission than the survival patients (leucocytes  $10.08$  vs  $7.10 \times 10^9$  per L,  $P<0.001$ ; neutrophils  $8.81$  vs  $5.29 \times 10^9$  per L,  $P<0.001$ ; lymphocytes  $0.68$  vs  $1.18 \times 10^9$  per L,  $P<0.001$ ). Consist with that, most lymphocyte subsets (T cells, Th cells, Ts cells, NK cells) detected by flow cytometry were significantly higher in survivors than in died cases ( $P<0.001$  for all). Serum albumin level was lower in died patients than survival patients (albumin  $30.64$  vs  $35.84$  g/L,  $P<0.001$ ), while serum total bilirubin, lactate dehydrogenase, alanine aminotransferase and aspartate aminotransferase level was higher in died patients than survival patients (T-Bil  $25.65$  vs  $10.31$  umol/L,  $P<0.001$ ; LDH  $494.26$  vs  $306.83$  U/L,  $P<0.001$ ; ALT  $104.43$  vs  $29.91$  U/L,  $P<0.001$ ; AST  $193.81$  vs  $37.05$  U/L,  $P<0.001$ ), indicating hepatic dysfunction in more patients of died group. Prothrombin time and D-dimer level were higher in died group than survival group (PT  $16.90$  vs  $14.01$  seconds,  $P<0.001$ ; D-D  $7.01$  vs  $2.38$  mg/L,  $P<0.001$ ).

As for the inflammatory biomarkers (IL2R, IL6, IL8 and TNF- $\alpha$ ), died patients had significant elevate in died group than survivors ( $P<0.05$  for all). No significant differences existed in serum creatinine, BUN, INR, procalcitonin, hemoglobin and BNP levels between two groups ( $P>0.05$ ).

### Prognostic Values of related parameters between Confirmed and Clinically diagnosed group

In this study, death was regarded as the clinical endpoint event, and recovery from discharge or hospitalization was regarded as another event, thus making the dependent variable a dichotomous variable. ROC curve analysis was performed to evaluate the prognostic factors for COVID-19. As shown in Table 4, factors associated with peripheral blood cell count (Leucocytes, Neutrophils, Neutrophil percentage, Lymphocyte percentage, T cells, Th cells, Ts cells, NK cells, T cells + B cells + NK cells, NLR, NTR, NpTR), inflammation associated factors (Procalcitonin, IL6) and biochemical indicators (Lactate dehydrogenase, Blood urea nitrogen, Prothrombin time, D-dimer) showed good prognostic values. among which NK cells and NTR were the most predominant predictive factors for the clinical outcome (NK AUC=0.926, 95% CI: 0.867–0.985,  $P<0.001$ ; NTR AUC=0.919, 95% CI: 0.860–0.979,  $P<0.001$ ). Factors related to the prognosis of confirmed and clinically diagnosed group were similar, although the differences were likely due to the insufficient number of clinically diagnosed cases. Lower LDH levels, higher D-D levels, longer PT, higher IL-6 levels, lower T cells indicated poor prognosis in patients with COVID-19 pneumonia.

Furthermore, multivariate logistic regression analysis showed that D-dimer (OR = 1.074,  $P = 0.048$ ) and haemoglobin (OR = 0.966,  $P = 0.004$ ) were independent prognostic factors for death (Table 5).

## Discussion

To our knowledge, this was the first study to compare and analyze the differences between clinically diagnosed and confirmed patients. According to previous studies,<sup>12</sup> COVID-19 mainly invades the lower respiratory tract, and the positive rate of nucleic acid detection by pharyngeal swab is low, about 30%–50%. In addition, the study found that the expressions of IgM and IgG in the clinically diagnosed group were significantly lower than those in the

**Table 1**

Baseline characteristics of patients included in the study.

	Total (n = 194)	Confirmed (n = 173)	Clinically diagnosed (n = 21)	P value
Age, median(IQR), years	64(54-71.25)	64(54-71)	64(50-78.50)	0.621
Sex n(%)				0.249
Male	115(59.27)	105(60.69)	10(47.62)	
Female	79(40.72)	68(39.31)	11(52.38)	
Any comorbidities n(%)	135(69.59)	118(68.21)	17(80.95)	0.317
Hypertension	73(37.63)	68(39.31)	5(23.81)	0.233
Diabetes	39(20.10)	38(21.97)	1(4.76)	0.082
Cardiovascular disease	18(9.28)	15(8.67)	3(14.29)	0.421
COPD	11(5.67)	9(5.20)	2(9.52)	0.339
Malignancy	13(6.70)	9(5.20)	4(19.05)	0.038
Chronic renal disease	13(6.70)	9(5.20)	4(19.05)	0.038
Others	35(18.04)	29(16.76)	6(28.57)	0.184
Chief complaint n(%)				
Fever	139(71.65)	131(75.72)	8(38.10)	<0.001
Cough	31(15.98)	25(14.45)	6(28.57)	0.095
Dyspnea	12(6.19)	7(4.05)	5(23.81)	<0.001
Others	12(6.19)	10(5.78)	2(9.52)	0.623
SARS-CoV-2 RNA n(%)				<0.001
Positive	148(76.29)	148(85.55)	0(0)	
Negative	46(23.71)	25(14.45)	21(100)	
SARS-CoV-2 Antibody n(%)				<0.001
Positive	25(12.89)	25(14.45)	0(0)	
Negative	21(10.82)	0(0)	21(100)	
Bilateral involvement n(%)				0.153
Yes	181(93.30)	163(94.22)	18(85.71)	
No	13(6.70)	10(5.78)	3(14.29)	
Hospital stay, median(IQR), days	18.77 (10.00-27.00)	19.00 (20.00-27.00)	16.90 (7.00-21.50)	0.411

**Table 2**

Laboratory findings of patients with COVID-19 pneumonia.

	Total (n = 194)	Confirmed (n = 173*)	Clinically diagnosed (n = 21*)	P value
Hemoglobin, g per L	124.48(114.75-138.00)	126.86(116.00-139.00)	104.86(82.00-130.50)	0.001
Alanine aminotransferase U/L	47.58(15.00-38.00)	33.74(16.00-38.00)	161.57(12.50-52.50)	0.645
Aspartate aminotransferase U/L	54.02(20.00-42.25)	37.05(27.00-42.00)	193.81(18.00-54.00)	0.830
Albumin, g/L	34.61(30.58-38.33)	34.66(30.60-39.20)	34.18(30.45-36.35)	0.741
Total bilirubin, umol/L	13.95(6.65-14.20)	11.08(6.80-14.15)	37.56(5.50-19.25)	0.985
Lactate dehydrogenase, U/L	350.80(215.00-444.50)	348.09(214.00-433.00)	373.14(255.50-505.00)	0.601
Blood urea nitrogen, mmol/L	8.77(4.00-8.20)	6.73(3.95-7.55)	25.57(4.60-18.70)	0.045
Creatinine, umol/L	117.89(59.00-94.00)	103.53(58.50-93.00)	236.19(68.00-198.50)	0.084
Prothrombin time, second	14.68(13.50-15.05)	14.46(13.45-14.80)	16.58(13.93-15.58)	0.019
INR	1.64(1.02-1.18)	1.68(1.01-1.15)	1.35(1.06-1.23)	0.839
D-dimer, ug/mL	3.46(0.37-2.78)	3.12(0.33-2.65)	6.40(0.98-11.46)	0.016
Procalcitonin ng/mL	0.86(0.03-0.29)	0.89(0.03-0.25)	0.61(0.04-0.85)	0.808
BNP pg/mL	2609.28(72.00-1108.00)	1873.17(69.50-992.50)	8702.67(183.25-9150.25)	0.009
IgM, AU/ml	97.05(11.62-92.70)	104.42(13.47-99.85)	3.41(1.61-4.98)	<0.001
IgG, AU/ml	188.62(138.99-234.16)	203.03(149.45-240.99)	5.54(1.89-6.61)	<0.001
Antibody detection after onset, day	31.00(26.00-38.00)	31.31(27.00-38.00)	27.10(13.00-45.50)	0.248
Leucocytes, $\times 10^9$ per L	7.80(4.83-8.88)	7.74(4.82-8.49)	8.35(5.58-11.59)	0.574
Neutrophil percentage	73.95(64.18-86.43)	73.77(63.95-86.40)	75.43(65.25-86.80)	<0.001
Neutrophils, $\times 10^9$ per L	6.12(3.12-7.12)	6.08(3.01-6.98)	6.49(3.86-9.43)	0.704
Lymphocyte percentage	17.04(8.15-25.05)	17.08(8.10-25.10)	16.71(8.00-24.60)	<0.001
Lymphocytes, $\times 10^9$ per L	1.07(0.64-1.41)	1.04(0.62-1.41)	1.24(0.69-1.44)	0.190
Monocytes, $\times 10^9$ per L	0.51(0.35-0.59)	0.51(0.34-0.59)	0.53(0.38-0.71)	0.619
Eosinophils, $\times 10^9$ per L	0.08(0-0.11)	0.09(0-0.11)	0.06(0-0.10)	0.451
Basophils, $\times 10^9$ per L	0.02(0.01-0.03)	0.02(0.01-0.03)	0.02(0.01-0.03)	0.869
Platelets, $\times 10^9$ per L	218.31(150.00-278.00)	220.00(149.50-288.50)	204.38(161.00-268.00)	0.486
IL1 $\beta$ , pg/mL	8.70(0-0)	2.20(0-0)	60.39(0-8.70)	0.149
IL2R, U/mL	941.77(415.00-1263.00)	923.18(401.00-1263.00)	1089.62(444.50-1597.50)	0.369
IL6, pg/mL	58.00(3.06-52.87)	60.16(2.99-56.76)	40.70(9.81-44.23)	0.518
IL8, pg/mL	32.31(9.70-33.60)	32.02(9.70-30.10)	34.65(9.13-47.38)	0.827
IL10, pg/mL	5.95(0-8.50)	5.86(0-8.20)	6.74(0-9.60)	0.745
TNF $\alpha$ , pg/mL	10.36(6.20-13.40)	10.35(6.20-13.30)	10.47(5.95-14.78)	0.943
T cells (CD3 + CD19-) /ul	753.71(331.00-1071.00)	777.22(317.00-1084.50)	467.67(294.75-634.25)	0.126
B cells (CD3-CD19+) /ul	146.47(59.000-187.00)	148.81(63.00-192.00)	118.00(38.75-193.00)	0.517
Th cells (CD3 + CD4+) /ul	482.75(209.00-694.00)	497.37(209.50-746.50)	304.83(157.00-425.25)	0.145
Ts cells (CD3 + CD8+) /ul	241.90(76.00-351.00)	251.84(86.50-351.00)	121.00(50.75-164.25)	0.102
NK cells (CD3-/CD16+CD56+) /ul	150.80(48.00-212.00)	155.97(52.50-218.00)	87.83(10.25-140.50)	0.227
T cells + B cells + NK cells /ul	1050.97(472.00-1536.00)	1082.00(477.50-1537.00)	673.50(335.75-877.75)	0.125
Th/Ts	2.63(1.54-3.20)	2.53(1.54-2.99)	3.78(2.10-5.06)	0.087

\* lymphocyte subsets were tested in 85 patients (79 confirmed, 6 Clinically diagnosed).

Data are mean (IQR), where N is the total number of patients with available data. P values comparing Confirmed and Clinically diagnosed cases are from T test, or Mann-Whitney U test. COIVD19 = coronavirus disease 2019. INR, International standardized ratio; NK cells = natural killer cells; Th cells = helper T cells; Ts cells = suppressor T cells.

**Table 3**

Comparison of related parameters between survival and died group.

	Total(n = 194)	Survival(n = 148)	Died(n = 46)	P value
Age,mean (SD), years	62.55(14.18)	60.30(14.56)	69.76(10.00)	<0.001
Sex n(%)				0.104
Male	115(59.28)	83(56.08)	32(69.57)	
Female	79(40.72)	65(43.92)	14(30.43)	
Any comorbidities n(%)				
Hypertension	73(37.63)	54(36.49)	19(41.30)	0.556
Diabetes	39(20.10)	29(19.59)	10(21.74)	0.751
Cardiovascular disease	18(9.28)	12(8.11)	6(13.04)	0.314
COPD	11(5.67)	7(4.73)	4(8.70)	0.310
Malignancy	13(6.70)	7(4.73)	6(13.04)	0.049
Chronic renal disease	13(6.70)	12(8.11)	1(2.17)	0.161
Group n(%)				0.272
Confirmed	173(89.18)	134(90.54)	39(84.78)	
Clinically diagnosed	21(10.82)	14(9.46)	7(15.22)	
Hospital stay, days	18.77(10.99)	19.28(11.84)	17.13(7.48)	0.247
Hemoglobin, g per L	124.48(21.53)	123.83(19.65)	126.57(26.86)	0.453
Alanine aminotransferase, U/L	47.58(192.65)	29.91(26.75)	104.43(390.53)	0.007
Aspartate aminotransferase, U/L	54.02(239.87)	31.57(21.90)	126.22(488.09)	<0.001
Albumin, g/L	34.61(6.31)	35.84(6.18)	30.64(4.99)	<0.001
Total bilirubin, umol/L	13.95(36.39)	10.31(5.77)	25.65(73.39)	<0.001
Lactate dehydrogenase, U/L	350.80(206.58)	306.83(167.65)	492.26(253.79)	<0.001
Blood urea nitrogen, mmol/L	8.77(21.65)	8.24(24.48)	10.50(6.92)	0.538
Creatinine, umol/L	117.89(168.78)	116.18(174.36)	123.39(151.08)	0.801
Prothrombin time, second	14.68(3.48)	14.01(1.67)	16.90(6.08)	<0.001
INR	1.64(6.77)	1.72(7.72)	1.39(0.70)	0.777
D-dimer, ug/mL	3.46(5.63)	2.38(4.30)	7.01(7.73)	<0.001
Procalcitonin, ng/mL	0.86(4.92)	0.72(4.89)	1.30(5.06)	0.498
BNP, pg/mL	2609.28(8815.92)	2566.23 (9742.83)	2733.42 (5401.05)	0.951
IL1β, pg/mL	8.70(84.43)	10.59(97.16)	2.89(6.90)	0.601
IL2R, U/mL	941.77(778.11)	839.42(766.33)	1255.82(736.47)	0.002
IL6, pg/mL	58.00(126.54)	33.72(75.24)	130.85(201.66)	<0.001
IL8, pg/mL	32.31(50.49)	28.47(51.83)	44.11(44.62)	<0.001
IL10, pg/mL	5.95(11.32)	5.06(9.93)	8.69(14.61)	0.065
TNFα, pg/mL	10.36(6.90)	9.59(6.70)	12.74(7.04)	0.008
Leucocytes, × 10 <sup>9</sup> per L	7.80(4.70)	7.10(4.68)	10.08(4.04)	<0.001
Neutrophil percentage	73.95(13.56)	70.13(12.96)	86.24(6.15)	<0.001
Neutrophils, × 10 <sup>9</sup> per L	6.12(4.70)	5.29(4.63)	8.81(3.87)	<0.001
Lymphocyte percentage	17.04(10.40)	19.95(10.04)	7.67(4.21)	<0.001
Lymphocytes, × 10 <sup>9</sup> per L	1.07(0.64)	1.18(0.66)	0.68(0.33)	<0.001
Monocyte, × 10 <sup>9</sup> per L	0.51(0.25)	0.50(0.24)	0.53(0.30)	0.895
Eosinophils, × 10 <sup>9</sup> per L	0.08(0.14)	0.10(0.13)	0.04(0.15)	0.012
Basophils, × 10 <sup>9</sup> per L	0.02(0.02)	0.02(0.03)	0.02(0.01)	0.074
Platelets, × 10 <sup>9</sup> per L	218.31(96.62)	226.60(88.55)	191.63(116.04)	0.032
T cells (CD3 + CD19+)/u	753.71(475.97)	965.06(421.16)	322.88(223.97)	<0.001
B cells (CD3-CD19+)/u	146.47(110.92)	162.34(111.34)	114.12(104.79)	0.069
Th cells (CD3 + CD4+)/u	482.75(310.41)	612.47(277.33)	218.31(179.59)	<0.001
Ts cells (CD3 + CD8+)/u	241.90(187.99)	316.26(181.77)	90.31(76.92)	<0.001
NK cells (CD3-/CD16+CD56+)/u	150.80(132.03)	202.23(130.72)	45.96(42.49)	<0.001
T cells + B cells + NK cells/u	1050.97(625.27)	1329.62(553.33)	482.96(287.76)	<0.001
Th/Ts	2.63(1.72)	2.28(1.39)	3.33(2.11)	<0.001

Data are mean (SD), n (%), or n/N (%), where N is the total number of patients with available data. p values comparing survival and died cases are from T test, or Mann-Whitney U test. COIVD19 = coronavirus disease 2019, NK cells = natural killer cells, Th cells = helper T cells, Ts cells = suppressor T cell.

confirmed group (IgM 3.41 vs 104.42 AU/ml,  $P < 0.001$ ; IgG 5.54 vs 203.03 AU/ml,  $P < 0.001$ ). The history of malignant tumors and chronic renal failure was more in the clinical diagnosis group, and the difference was statistically significant. These reasons may be related to a negative laboratory diagnosis in the clinically diagnosed group.

In this study, we found that the BUN, BNP, Prothrombin time, and D-dimer were significantly higher in the clinical diagnosis group than in the diagnosed group, while the hemoglobin was lower than that in the diagnosed group. This may be due to the large number of patients with renal failure, malignant tumors, and cardiac dysfunction in the clinical diagnosis group, which led to the destruction of red blood cells, cardiac machinery damage, metabolic waste discharge disorders, and coagulation dysfunction.

When the 194 patients were divided into a survival group and a died group for comparative analysis, there was no difference in

clinical endpoints between the confirmed group and the clinical diagnosis group (39 [22.54%] vs 7 [33.33%];  $P = 0.272$ ). We found that the white blood cell count and neutrophil count in the death group were significantly higher than those in the survival group, suggesting that there may be potential bacterial or fungal infections. Therefore, it is very important that we detect potential infections and apply antibiotics in a timely manner during the treatment process. In addition, the T cell and its subtype counts in the death group were significantly lower than those in the survival group, while the number of B cells was not significantly different, which may be related to the fact that T lymphocytes, rather than B lymphocytes, are mainly involved in the immune process of viral infection during the course of the disease, which is consistent with the observation of a recently published death case.<sup>13</sup> The report found that the number of T-lymphocytes decreased significantly in the early stage of the disease, which was related to the over activation of

**Table 4**

Prognostic value of the clinical parameters.

Parameters	Total				Confirmed				Clinically diagnosed			
	NO	AUC	95%CI	P-Value	NO	AUC	95%CI	P-Value	NO	AUC	95%CI	P-Value
Age	194	0.697	0.616-0.778	<0.001	173	0.695	0.606-0.783	<0.001	21	0.714	0.494-0.935	0.117
Malignancy	13	0.542	0.443-0.640	0.395	9	0.549	0.442-0.657	0.351	4	0.536	0.272-0.799	0.794
Hemoglobin, g per L	194	0.560	0.455-0.665	0.221	173	0.605	0.492-0.717	0.047	21	0.551	0.295-0.807	0.709
Aspartate aminotransferase	194	0.689	0.602-0.776	<0.001	173	0.667	0.569-0.765	0.002	21	0.827	0.645-1.000	0.017
Albumin	194	0.748	0.176-0.328	<0.001	173	0.749	0.170-0.333	<0.001	21	0.760	0.007-0.473	0.057
Total bilirubin	194	0.674	0.590-0.757	<0.001	173	0.666	0.579-0.753	0.002	21	0.745	0.487-1.000	0.073
Lactate dehydrogenase	194	0.771	0.689-0.852	<0.001	173	0.784	0.703-0.866	<0.001	21	0.679	0.405-0.953	0.192
Blood urea nitrogen	194	0.765	0.690-0.840	<0.001	173	0.772	0.691-0.854	<0.001	21	0.684	0.455-0.912	0.179
Creatine	194	0.643	0.549-0.736	0.004	173	0.660	0.560-0.759	0.002	21	0.526	0.208-0.741	0.852
Prothrombin time	193	0.771	0.687-0.854	<0.001	173	0.740	0.641-0.840	<0.001	20	0.949	0.854-1.000	0.002
D-dimer	193	0.756	0.677-0.853	<0.001	173	0.770	0.688-0.852	<0.001	20	0.910	0.757-1.000	0.005
Procalcitonin	185	0.805	0.743-0.866	<0.001	164	0.799	0.731-0.867	<0.001	21	0.744	0.494-0.993	0.096
IL2R	179	0.722	0.644-0.801	<0.001	159	0.715	0.626-0.804	<0.001	20	0.769	0.556-0.982	0.066
IL6	180	0.818	0.753-0.883	<0.001	160	0.807	0.734-0.880	<0.001	20	0.872	0.695-1.000	0.011
IL8	179	0.704	0.618-0.789	<0.001	159	0.691	0.594-0.788	0.001	20	0.795	0.587-1.000	0.044
IL10	179	0.646	0.550-0.741	0.004	159	0.646	0.538-0.754	0.009	20	0.564	0.273-0.856	0.661
TNF $\alpha$	179	0.665	0.573-0.757	0.001	159	0.691	0.590-0.792	0.001	20	0.500	0.223-0.777	1.000
Leucocytes	194	0.766	0.687-0.846	<0.001	173	0.760	0.673-0.847	<0.001	21	0.816	0.595-1.000	0.021
Neutrophils	194	0.815	0.747-0.883	<0.001	173	0.807	0.732-0.881	<0.001	21	0.888	0.729-0.000	0.005
Neutrophil percentage	194	0.831	0.743-0.920	<0.001	173	0.843	0.786-0.900	<0.001	21	0.949	0.861-1.000	0.001
Lymphocytes	194	0.760	0.164-0.315	<0.001	173	0.746	0.662-0.829	<0.001	21	0.888	0.732-1.000	0.005
Lymphocyte percentage	194	0.817	0.092-0.274	<0.001	173	0.840	0.782-0.898	<0.001	21	0.980	0.929-1.000	<0.001
Monocyte	194	0.506	0.400-0.613	0.895	173	0.508	0.393-0.623	0.877	21	0.582	0.124-0.712	0.551
Eosinophils	194	0.735	0.187-0.343	<0.001	173	0.716	0.629-0.803	<0.001	21	0.862	0.702-1.000	0.008
Basophils	194	0.580	0.487-0.672	0.111	173	0.559	0.461-0.657	0.262	21	0.684	0.452-0.915	0.179
T cells (CD3+CD19-)	79	0.901	0.834-0.968	<0.001	73	0.903	0.834-0.973	<0.001	6	0.875	0.563-1.000	0.165
B cells (CD3-CD19+)	79	0.663	0.530-0.797	0.019	73	0.655	0.513-0.797	0.036	6	0.750	0.326-1.000	0.355
Th cells (CD3+CD4+)	79	0.879	0.798-0.959	<0.001	73	0.879	0.792-0.965	<0.001	6	0.750	0.292-1.000	0.355
Ts cells (CD3+CD8+)	79	0.885	0.812-0.957	<0.001	73	0.880	0.802-0.959	<0.001	6	1.000	1.000-1.000	0.064
Th/Ts	79	0.666	0.521-0.811	0.017	73	0.627	0.211-0.535	0.087	6	0.875	0.001-0.437	0.165
NK cells (CD3-/CD16+CD56+)	79	0.926	0.867-0.985	<0.001	73	0.918	0.852-0.985	<0.001	6	1.000	1.000-1.000	0.064
T cells+B cells+NK cells	79	0.903	0.030-0.163	0.001	73	0.904	0.835-0.972	<0.001	6	0.875	0.563-1.000	0.165
NLR	194	0.855	0.803-0.908	<0.001	173	0.843	0.785-0.900	<0.001	21	0.988	0.951-1.000	0.001
NTR	194	0.919	0.860-0.979	<0.001	173	0.912	0.847-0.976	<0.001	21	1.000	1.000-1.000	0.064
NpTR	194	0.914	0.853-0.974	<0.001	173	0.912	0.848-0.976	<0.001	21	1.000	1.000-1.000	0.064

Notes: NO, Actual number of cases; AUC, area under the receiver operating characteristic curve; CI, confident interval; NLR, neutrophil-to-lymphocyte ratio; NTR, neutrophil to T lymphocyte count ratio; NpTR, neutrophil percentage to T lymphocyte ratio; NK cells = natural killer cells, Th cells = helper T cells, Ts cells = suppressor T cells. NLR, neutrophil to lymphocyte ratio; NTR, neutrophil to T lymphocyte count ratio; NpTR, neutrophil percentage to T lymphocyte ratio.

**Table 5**

Multivariate logistic regression of independent prognostic factors for mortality.

Factors	$\beta$	S	P Value	OR for Mortality (95% CI)
Blood urea nitrogen	0.048	0.032	0.140	1.049(0.984-1.118)
D-dimer	0.071	0.036	0.048	1.074(1.001-1.153)
Hemoglobin	-0.035	0.012	0.004	0.966(0.943-0.989)
constant	1.152	1.485	0.438	3.166

T-lymphocytes. A large number of studies <sup>10,13–16</sup> also have confirmed that COVID-19 can cause the decline of lymphocytes, especially the death group of T cells and their subtype counts is significantly lower than the survival group. We also found that patients in the died group were older, with lower albumin levels, higher serum LDH levels, higher D-D levels, longer PT, which were consistent with previous studies that showed the age, coagulopathy, bacterial infections, liver, heart, kidney dysfunction, and changes in blood cell counts are associated with the prognosis of patients with COVID-19. <sup>14,15</sup>

Despite a series of studies on the causes of death in patients with COVID-19, the specific factors leading to high mortality are not fully understood. Respiratory failure is the leading cause of death in older people. <sup>13,14</sup> High viral load, cytokine storm, immune escape and delay are the mechanisms of disease progression and death. <sup>10,11</sup> We found that the imaging examination of the lungs of patients with COVID-19 death showed extensive white lung, mainly infiltrated by a large number of white blood cells, with over-activated T lymphocytes as the main cell type. Superinflammatory cytokines can amplify the inflammatory response by promoting uncontrolled viral replication, <sup>11,13</sup> which was consistent with laboratory examinations that IL2R, IL6, IL8, and TNF- $\alpha$  in the death group are significantly higher than those in the survival group, suggesting a poor prognosis. Therefore, non-self-limiting inflammatory response and lymphocyte dysfunction may be the key mechanisms for fatal pneumonia caused by SARS-CoV-2 infection. IL-6 is a powerful predictor of death and is closely related to the counts of white blood cells, neutrophils, lymphocytes and eosinophils. <sup>17–19</sup> Therefore, IL6 receptor blocker (tocilizumab) is a new and promising antitumor. The drug has been approved for clinical trials in China (ChiCTR2000029765).

This study also has shortcomings. First, the laboratory test data of the clinical diagnosis group is relatively less and more missing, which has certain influence on the study of predictive factors and prognostic value. Second, this is a single-center retrospective study, which lacks verification of multi-center data.

## Conclusion

In summary, this study found no difference in clinical outcomes between the confirmed and clinical diagnosis groups, and similar prognostic factors. They are of the same type and require equal treatment. Older age, lower albumin levels, higher serum LDH levels, higher D-D levels, longer PT, higher IL-6 levels, lower T cells indicated poor prognosis in patients with COVID-19 pneumonia. Non-self-limiting inflammatory response and lymphocyte dysfunction maybe the key mechanism in fatal pneumonia induced by SARS-CoV-2 infection, providing evidence for laboratory diagnostics and clinical interventions.

## Contributors

Chunguang Yang and Zhiqian Hu made substantial contributions to the study design. Chunjin Ke was in charge of the manuscript draft. Chunguang Yang took responsibility for obtaining ethical approval. Chong Yu and Daoyuan Yue took responsibility for data acquisition. Chunjin Ke and Chunguang Yang made main

contributions to data analysis and interpretation. Xing Zeng and Chunguang Yang participated in the diagnosis and treatment of health professionals. Chunguang Yang and Zhiqian Hu made substantial revisions to the manuscript.

## Data sharing

With the permission of the corresponding authors, the raw data without names and identifiers are available on reasonable request. Data can be provided after publication of this study. Once the data are approved to be made public, the researchers will provide an email address for communication. The corresponding authors will make a decision based on based on the research objectives and plan provided.

## Declaration of interests

The manuscript is an original work and has not been submitted or is under consideration for publication in another journal. We also confirm that all the listed authors have participated actively in the study, and approved the submitted manuscript. The authors do not have any possible conflicts of interest.

## Acknowledgments

This work was supported by National Natural Science Foundation of China (No.81702989)

## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <https://doi.org/10.1016/j.medcli.2020.06.055>.

## References

- Zhu N, Zhang D, Wang W, Li X, Yang B, Song J, et al. A Novel Coronavirus from Patients with Pneumonia in China, 2019. *N Engl J Med*. 2020; doi:10.1056/NEJMoa2001017.
- Huang C, Wang Y, Li X, Ren L, Zhao J, Hu Y, et al. Clinical features of patients infected with 2019 novel coronavirus in Wuhan China. *The Lancet*. 2020;395(10223):497–506, doi:10.1016/s0140-6736(20)30183-5.
- WHO. Novel Coronavirus (COVID-19) Situation. Available from: <https://experiencearcgiscom/experience/685d0ace521648f8a5beeeee1b9125 cd.4>.
- Wang D, Hu B, Hu C, Zhu F, Liu X, Zhang J, et al. Clinical Characteristics of 138 Hospitalized Patients With 2019 Novel Coronavirus-Infected Pneumonia in Wuhan, China. *JAMA*. 2020, <http://dx.doi.org/10.1001/jama20201585>.
- Shi H, Han X, Jiang N, Cao Y, Alwadi O, Gu J, et al. Radiological findings from 81 patients with COVID-19 pneumonia in Wuhan China: a descriptive study. *Lancet Infect Dis*. 2020; doi:10.1016/S1473-3099(20)30086-4.
- Chen Y, Liu Q, Guo D. Emerging coronaviruses: Genome structure, replication, and pathogenesis. *J Med Virol*. 2020, <http://dx.doi.org/10.1002/jmv.25681>.
- Chen N, Zhou M, Dong X, Qu J, Gong F, Han Y, et al. Epidemiological and clinical characteristics of 99 cases of 2019 novel coronavirus pneumonia in Wuhan, China: a descriptive study. *Lancet*. 2020; doi:10.1016/S0140-6736(20)30211-7.
- Ai T, Yang Z, Hou H, Zhan C, Chen C, Lv W, et al. Correlation of Chest CT and RT-PCR Testing in Coronavirus Disease 2019 (COVID-19) in China: A Report of 1014 Cases. *Radiology*. 2020;200642, doi:10.1148/radiol.2020200642.
- Yang Y, Lu Q, Liu M, Wang Y, Zhang A, Jalali N, et al. Epidemiological and clinical features of the 2019 novel coronavirus outbreak in China. *MedRxiv*. 2020;2020, 02.10.20021675.
- Qin C, Zhou L, Hu Z, Zhang S, Yang S, Tao Y, et al. Dysregulation of immune response in patients with COVID-19 in Wuhan China. *Clin Infect Dis*. 2020; doi:10.1093/cid/ciaa248.

11. Channappanavar R, Perlman S. Pathogenic human coronavirus infections: causes and consequences of cytokine storm and immunopathology. *Semin Immunopathol*. 2017;39:529–39, doi:10.1007/s00281-017-0629-x.
12. Liu Q, Wang RS, Qu GQ, Wang YY, Liu P, Zhu YZ, et al. Gross examination report of a COVID-19 death autopsy. *Fa Yi Xue Za Zhi*. 2020;36:21–3, doi:10.12116/j.issn.1004-5619.2020.01.005.
13. Xu Z, Shi L, Wang Y, Zhang J, Huang L, Zhang C, et al. Pathological findings of COVID-19 associated with acute respiratory distress syndrome. *Lancet Respir Med*. 2020, doi:10.1016/S2213-2600(20)30076-X.
14. Zhou F, Yu T, Du R, Fan G, Liu Y, Liu Z, et al. Clinical course and risk factors for mortality of adult inpatients with COVID-19 in Wuhan China: a retrospective cohort study. *Lancet*. 2020;395(10229):1054–62, doi:10.1016/S0140-6736(20)30566-3.
15. Wu C, Chen X, Cai Y, Xia J, Zhou X, Xu S, et al. Risk Factors Associated With Acute Respiratory Distress Syndrome and Death in Patients With Coronavirus Disease 2019 Pneumonia in Wuhan China. *JAMA Intern Med*. 2020, <http://dx.doi.org/10.1001/jamainternmed.2020.0994>.
16. Liu X, Shen Y, Wang H, Ge Q, Fei A, Pan S. Prognostic Significance of Neutrophil-to-Lymphocyte Ratio in Patients with Sepsis: A Prospective Observational Study. *Meditators Inflamm*. 2016;2016:8191254, doi:10.1155/2016/8191254.
17. Zhang C, Wu Z, Li JW, Zhao H, Wang GQ. The cytokine release syndrome (CRS) of severe COVID-19 and Interleukin-6 receptor (IL-6R) antagonist Tocilizumab may be the key to reduce the mortality. *Int J Antimicrob Agents*. 2020;105954, <http://dx.doi.org/10.1016/j.ijantimicag.2020.105954>.
18. Giamarcellos-Bourboulis EJ, Netea MG, Rovina N, Akinosoglou K, Antoniadou A, Antonakos N, et al. Complex Immune Dysregulation in COVID-19 Patients with Severe Respiratory Failure. *Cell Host Microbe*. 2020, <http://dx.doi.org/10.1016/j.chom.2020.04.009>.
19. Cao X. COVID-19: immunopathology and its implications for therapy. *Nat Rev Immunol*. 2020;20:269–70, doi:10.1038/s41577-020-0308-3.