# **CLINICAL SCIENCE**

# PULSE OXIMETRY WAVE VARIATION AS A NONINVASIVE TOOL TO ASSESS VOLUME STATUS IN CARDIAC SURGERY

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**OBJECTIVE:** To compare variations of plethysmographic wave amplitude ( $\Delta$ Ppleth) and to determine the percent difference between inspiratory and expiratory pulse pressure ( $\Delta$ Pp) cutoff values for volume responsiveness in a homogenous population of postoperative cardiac surgery patients.

**INTRODUCTION:** Intra-thoracic pressure variations interfere with stroke volume variation. Pulse pressure variations through arterial lines during mechanical ventilation have been recommended for the estimation of fluid responsiveness. Pulse oximetry may offer a non-invasive plethysmographic method to evaluate pulse pressure; this may be useful for guiding fluid replacement.

**METHODS:** Controlled, prospective study in cardiac surgery patients under controlled ventilation. Simultaneous digital recordings of arterial pressure and plethysmographic waves were performed.  $\Delta Pp$ , systolic pressure ( $\Delta Ps$ ),  $\Delta Pp$ leth, and systolic component ( $\Delta Sp$ leth) were calculated. A  $\Delta Pp \geq 13\%$  identified fluid-responsive patients. Volume expansion was performed in responsive subjects. Systolic and amplitude components of pressure and plethysmographic waves were compared.

**RESULTS:** In 50 measurements from 43 patients,  $\Delta Pp$  was correlated with (Ppleth (r=0.90, p<0.001), (Ps (r=0.90, p<0.001), and (Spleth (r=0.73, p<0.001). An aArea under ROC curve (AUC) identified the fluid responsiveness thresholds: (Ppleth of 11% (AUC = 0.95±0.04), (Ps of 8% (AUC=0.93±0.05), and (Spleth of 32% (AUC=0.82±0.07). A (Ppleth value  $\geq$  11% predicted (Pp  $\geq$  13% with 100% specificity and 91% sensitivity. Volume expansion, performed in 20 patients, changed (Pp, (Ppleth, (Ps and (Spleth significantly (p<0.008).

**CONCLUSIONS:**  $\Delta$ Ppleth is well correlated with  $\Delta$ Pp and constitutes a simple and non-invasive method for assessing fluid responsiveness in patients following cardiac surgery.

**KEYWORDS:** Volume replacement; Hemodynamics; Postoperative care; Cardiac function; Cardiac catheterization/intervention.

# INTRODUCTION

Changes in intra-thoracic pressure interfere with venous return and cardiac output. Varying the arterial pressure recommended for testing cardiovascular responsiveness during volume replacement in critically ill patients. As arterial systolic pressure and plethysmographic systolic component variations ( $\Delta$ Spleth) could change similarly,  $\Delta$ Spleth has been proposed as a useful tool for determining recruitable preload in the absence of invasive pressure monitoring.<sup>1</sup>

through arterial lines during mechanical ventilation has been

Arterial pulse pressure respiratory variation ( $\Delta Pp$ ) has greater specificity and sensitivity for detecting cardiovascular responsiveness to volume expansion than  $\Delta Ps$ . Therefore, we originally demonstrated the usefulness of the respiratory variation of the plethysmographic waveform amplitude

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( $\Delta$ Ppleth) to test cardiovascular responsiveness after volume expansion in critically ill septic, hypovolemic, postoperative and cardiac patients.<sup>2</sup> Other studies have corroborated our findings, observing a strong relationship between  $\Delta$ Pp and  $\Delta$ Ppleth in similar clinical settings.<sup>3,4</sup>

Although the aforementioned studies<sup>2-4</sup> suggest that pulse oximetry may offer a noninvasive plethysmographic evaluation to guide fluid infusion, the correspondence between  $\Delta$ Ppleth and  $\Delta$ Pp has not been evaluated after fluid challenge or by cardiovascular responsiveness through cardiac output measurement. Along these lines, some studies<sup>5,6</sup> have compared  $\Delta Pp$  and  $\Delta Pp$ leth with effective cardiac response measured by thermodilution and echocardiography, respectively. These studies demonstrated that  $\Delta$ Ppleth was useful for predicting fluid responsiveness, as were analogous indices derived from direct arterial blood pressure measurements.5,6 However, these studies also showed a clear discrepancy in  $\Delta$ Ppleth threshold values for fluid responsiveness identification.<sup>5,6</sup> ΔPpleth values oscillated between 9% and 15%, and greater values were observed when septic patients predominated in the study populations.<sup>2-6</sup>

Hence, in this study we compared  $\Delta P$ pleth and  $\Delta P$ p, and determined the  $\Delta P$ pleth cutoff value for volume responsiveness in a homogenous population of patients following cardiac surgery.

#### **METHODS**

This was a prospective, non-interventional study performed in a mixed intensive care unit of the Centro Hospitalar Unimed, Joinville, Brazil, from October 2003 to December 2004, after approval by the ethics committee. Written consent was obtained from each patient or next of kin. Forty-three consecutive adult postoperative cardiac surgery patients were enrolled.

*Inclusion criteria:* Patients were included if under mechanical ventilation and residual effects of sedation and muscle relaxation in a three hour window after cardiac surgery.

Exclusion criteria: Patients were excluded if presenting one or more of the following conditions: cardiac arrhythmias, spontaneous breathing movements, positive end-expiratory pressure (PEEP) higher than 8 cm H<sub>2</sub>O, tidal volume lower than 8 mL/kg or higher than 10 mL/kg, auto-PEEP and/or bronchospasm.<sup>7</sup> Patients without informed consent were not included.

## **Interventions**

All patients were under controlled mode ventilation (Puritan Bennett 7200; Puritan Bennett, Carlsbad, Calif or

Newport Wave E200; Newport Beach, Calif), tidal volume between 8 and 10 mL/kg, inspiratory/expiratory ratio of 1:3, PEEP between 5 and 8 cm H<sub>2</sub>O, and FiO<sub>2</sub> to maintain arterial oxygen saturation around 95%. Additional sedative or muscle relaxant drugs were used at the discretion of the attending physician. All patients received an arterial line into the radial artery through a 20-gauge catheter (Abbocath, Becton Dickinson, Franklin Lakes, NJ). A double-lumen catheter (MAC; Multi-Lumen Access Catheter, Arrow International Inc, Bernville Road Reading, PA) was placed in the superior vena cava through the subclavian or internal jugular vein.

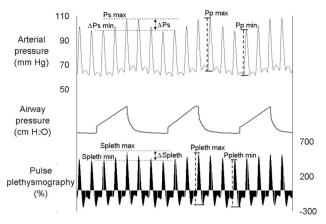
An oxymeter sensor was adapted to the indicator finger on the same side as the arterial catheterization. This oxymeter was a modular unit from a multi-parametric monitor (S/5; Datex-Ohmeda, Helsinki, Finland). Blood samples from central venous and arterial lines were obtained for blood gas analysis. All pressure transducers were referenced to mid-chest. Mechanical ventilator settings and vasoactive drug regimens were unchanged during the study protocol.

#### Measurements

Heart rate (HR), respiratory rate (RR), central venous pressure (CVP), invasive mean arterial pressure (MAP), electrocardiography, plethysmographic waves of pulse oximetry, airway pressure, PEEP, and tidal volume were continuously monitored, and tracings were simultaneously registered. During a 60-second period, invasive arterial, pulse oximetry plethysmographic tracings, and time-pressure respiratory curves were simultaneously registered and transferred from a multi-parametric monitor (S/5; Datex-Ohmeda, Helsinki, Finland) to a personal computer (S/5 Collect; Datex-Ohmeda, Helsinki, Finland). During data acquisition, no spontaneous respiratory movements were present. Therefore, respiratory-induced changes in arterial and plethysmographic waves were due exclusively to the effects of mechanical ventilation on intrathoracic pressures.

The analysis of pressure and plethysmographic waveforms was performed off-line on a personal computer. We first identified arterial pressure respiratory variation and the systolic and diastolic pressures (Figure 1). Then, systolic pressure and pulse pressure during inspiratory (Ps $_{\rm max}$  and Pp $_{\rm max}$ ) and expiratory (Ps $_{\rm min}$  and Pp $_{\rm min}$ ) phases were identified in each respiratory cycle. Respiratory variations in systolic pressure ( $\Delta Ps$ , in %) and pulse pressure ( $\Delta Pp$ , in %) were calculated using the following formulae:  $^7$ 

$$\Delta Ps (\%) = 100 x (Ps_{max} - Ps_{min}) / [(Ps_{max} + Ps_{min}) / 2]$$
  
 
$$\Delta Pp (\%) = 100 x (Pp_{max} - Pp_{min}) / [(Pp_{max} + Pp_{min}) / 2]$$



**Figure 1** - Simultaneous fluctuations in arterial pressure (top) and plethysmographic (bottom) waveforms during mechanical ventilation. The systolic pressure and systolic plethysmographic component are maximal during inspiration and decline proportionally in expiration. Similarly, the arterial pulse pressure and plethysmographic amplitude are maximal during inspiration and minimal during expiration. Ps  $_{\rm max}$ , maximal arterial systolic pressure; Spleth  $_{\rm max}$ , maximal plethysmographic waveform systolic component; Ps  $_{\rm min}$ , minimal arterial systolic pressure; Spleth  $_{\rm min}$ , minimal plethysmographic waveform systolic component; Pp  $_{\rm max}$ , maximal arterial pulse pressure; Ppleth  $_{\rm max}$ , maximal plethysmographic waveform amplitude; Pp  $_{\rm min}$ , minimal arterial pulse pressure; Ppleth  $_{\rm min}$ , minimal plethysmographic waveform amplitude.

Plethysmographic waveforms were also evaluated using a similar methodology. Plethysmographic and arterial waveforms were simultaneously recorded and selected for analysis. The systolic component and the amplitude of the plethysmographic wave in the inspiratory phase (Spleth<sub>max</sub> and  $\Delta$ Ppleth<sub>min</sub>) and expiratory phase (Spleth<sub>min</sub> and  $\Delta$ Ppleth<sub>min</sub>) were determined. Respiratory variations in the systolic plethysmographic component ( $\Delta$ Spleth, in %) and respiratory variations in plethysmographic amplitude ( $\Delta$ Ppleth, in %) were calculated using the following formulae:

$$\begin{split} &\Delta Ppleth~(\%) = 100x(Ppleth_{max} - Ppleth_{min}) \, / \, [(Ppleth_{max} + Ppleth_{min})/2] \\ &\Delta Spleth~(\%) = 100x(Spleth_{max} - Spleth_{min}) \, / \, [(Spleth_{max} + Spleth_{min})/2] \end{split}$$

Each unit of the plethysmographic scale displayed on the secondary y axis depicted in figure 1 corresponds to the percent relationship between the pulse sign and the static sign detected by pulse oximeter.

 $\Delta Ps$ ,  $\Delta Pp$ ,  $\Delta Ppleth$  and  $\Delta Spleth$  were determined as the average of three respiratory cycles over one minute. From these measurements, a correlation coefficient was calculated, and a cutoff value for  $\Delta Ppleth$  and  $\Delta Spleth$  was identified.

## Fluid responsiveness

We have defined fluid responsiveness as a  $\Delta Pp \ge 13\%$ 

in a previous study,<sup>7</sup> and non-fluid responsiveness as a  $\Delta$ Pp < 13%. We did not measure cardiac output or systolic volume.

### Fluid challenge

According to clinical judgment, patients were challenged with a normal saline infusion, ranging from 500 to 1000 mL. HR, MAP, CVP,  $\Delta$ Ps,  $\Delta$ Pp,  $\Delta$ Ppleth and  $\Delta$ Spleth were analyzed before and after fluid challenge.

#### Clinical characteristics

Eventual influences of clinical variables on pressure and plethysmographic traces relationship were examined. Clinical variables were: age, time on extra-corporeal circulation, temperature, MAP, CVP, hematocrit, ScvO<sub>2</sub>, PaCO<sub>2</sub>, and plethysmographic sign.

# Reproducibility

The agreement between two independent observers was evaluated to test the reproducibility of plethysmographic wave respiratory variation in volemic status determination.

#### Statistical analysis

NCSS Statistical Software 2000 & PASS 2000: Power Analysis & Sample Size (NCSS Kaysville, Utah) was used for statistical analysis. Data were compared using Student's t test for continuous variables. Ordinal data or non-normally distributed continuous data were compared by the nonparametric Wilcoxon rank sum test for paired observations. Correlations were determined using the Spearman test. The agreement between  $\Delta Pp$  and  $\Delta Pp$ leth for potential responsiveness was evaluated by Cohen kappa index. Receiver operating characteristic curves were generated for  $\Delta Ps$ ,  $\Delta Ppleth$ ,  $\Delta Spleth$  (in %) and CVP (in mmHg) to identify the threshold values between fluid responders and non-responders. We performed a stepwise multiple regression to identify eventual influences of clinical variables on the pressure and plethysmographic trace relationship. The concordance between independent observers was evaluated by Bland-Altmann analysis, Spearman's correlation test and the Cohen kappa index. Results are expressed as mean  $\pm$  SD. All tests were twotailed, and P < 0.05 was considered statistically significant.

## **RESULTS**

Fifty simultaneous arterial pressure and pulse plethysmo-

graphic traces were obtained from 43 postoperative cardiac surgery patients. Table 1 shows demographic, hemodynamic, and ventilatory data.

The linear correlation between  $\Delta Pp$  and  $\Delta Ppleth$  (r = 0.90, P < 0.001) is depicted in Figure 2. Other correlations were also observed:  $\Delta Pp$  and  $\Delta Ps$  (r = 0.90, P < 0.001),  $\Delta Pp$  and  $\Delta Spleth$  (r = 0.73, P < 0.001),  $\Delta Ps$  and (Spleth (r = 0.61, p P < 0.001) and (Ppleth and (Spleth (r = 0.83, p P < 0.001).

We determined 11% as the  $\Delta Pp$  cutoff value to discriminate PFR and NPFR, with a sensitivity of 93%, a specificity of 95%, and an area under the ROC curve (AUC) of 0.95  $\pm$  0.04. The  $\Delta Ps$  threshold value was 8%, with a

**Table 1 -** Patients characteristics (n = 43)

Gender		
Male	28	
Female	15	
Age, years	61 ± 9	
Weight, kg	$72 \pm 10$	
APACHE II score	$9.2 \pm 4.7$	
Surgery		
Coronary graft	36	
Valvular surgery		
Aortic	5	
Mitral	2	
Hemodynamics		
Heart rate, bpm	$92 \pm 19$	
MAP, mm Hg	$82 \pm 11$	
ScvO <sub>2</sub> , %	$56 \pm 9.5$	
CVP, mm Hg	$7.3 \pm 3.5$	
$\Delta \mathrm{Pp},\%$	$17 \pm 9$	
ΔPs, %	$10 \pm 4.1$	
ΔPpleth, %	$15 \pm 11$	
ΔSpleth, %	$46 \pm 32$	
Mechanical ventilatory settings		
Tidal volume, mL/kg	$9 \pm 1$	
Peak pressure, cm H <sub>2</sub> O	$30 \pm 3$	
Respiratory rate, rpm	$14 \pm 2$	
PEEP, cm H <sub>2</sub> O	$5 \pm 1$	
PaCO <sub>2</sub> , mm Hg	$33 \pm 6$	
SaO <sub>2</sub> , %	$95 \pm 2$	

APACHE II, Acute Physiology and Chronic Health Evaluation II; CVP, central venous pressure; ΔPp, pulse pressure respiratory variation; ΔPpleth, respiratory variation of plethysmographic wave amplitude; ΔPs, systolic pressure respiratory variation; ΔSpleth, systolic plethysmographic respiratory variation; MAP, mean arterial pressure; PEEP, positive end expiratory pressure; SaO<sub>2</sub>, arterial oxygen saturation; ScvO<sub>2</sub>, central venous oxygen saturation.

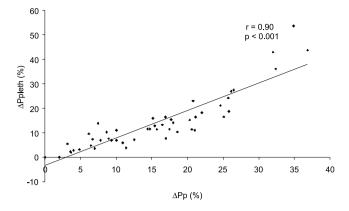


Figure 2 - Global correlation between  $\Delta Pp$  and  $\Delta Ppleth$  observed in fifty measurements performed in the 43 patients.  $\Delta Pp$  (%), pulse pressure respiratory variation;  $\Delta Ppleth$  (%), respiratory variation of plethysmographic wave amplitude.

sensitivity of 95%, a specificity of 91% and an AUC of 0.93  $\pm$  0.05. The  $\Delta$ Spleth cutoff was 32%, with a sensitivity of 74%, a specificity of 91% and an AUC of 0.82  $\pm$  0.07. Using a mean CVP of 8 mm Hg as the best cutoff value, we found an AUC of 0.59  $\pm$  0.1, a sensitivity of 74% and a specificity of 45% (Figure 3). There was good agreement between  $\Delta$ Pp and  $\Delta$ Ppleth as shown by *kappa* test of 0.88, P < 0.001 for PFR identification (Figure 4). We evaluated this concordance using the *kappa* index to compare  $\Delta$ Pp and  $\Delta$ Ps (*kappa* = 0.85, P < 0.001),  $\Delta$ Pp and  $\Delta$ Spleth (*kappa* = 0.60, P < 0.001),  $\Delta$ Pp and CVP (*kappa* = 0.02, P < 0.001).

In 20 patients given fluid challenge,  $\Delta Pp$  and  $\Delta Pp$ leth decreased after fluid replacement, from  $21\% \pm 9\%$  to  $8\% \pm 5\%$  (P < 0.001) and from  $19\% \pm 10\%$  to  $5\% \pm 4\%$  (P < 0.001), respectively (Figure 5).  $\Delta Ps$  and  $\Delta Sp$ leth decreased from  $12.3\% \pm 3.5\%$  to  $7\% \pm 2\%$  (P < 0.001) and  $66\% \pm 42\%$ 

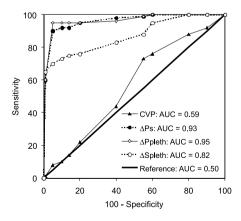


Figure 3 - ROC curves comparing the ability of ΔPpleth, ΔSpleth and CVP to reproduce  $\Delta Pp$  in discrimination of potential fluid responders ( $\Delta Pp \geq 13\%$ ) and non-potential fluid responders ( $\Delta Pp < 13\%$ ). AUC, area under ROC curve; CVP, central venous pressure;  $\Delta Pp$  (%),pulse pressure respiratory variation;  $\Delta Ppleth$  (%), respiratory variation of plethysmographic wave amplitude;  $\Delta Ps$  (%), systolic pressure respiratory variation;  $\Delta Spleth$  (%), plethysmographic systolic component variation; ROC, receiver operating characteristic.

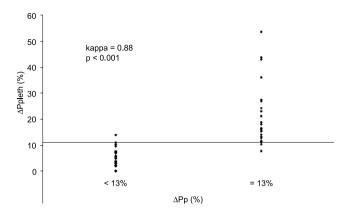


Figure 4 - Good agreement between ΔPp and ΔPpleth. A 11% ΔPpleth cutoff value discriminated non-potential fluid responders ( $\Delta$ Pp < 13%) and potential fluid responders ( $\Delta$ Pp  $\geq$  13%).  $\Delta$ Pp (%), pulse pressure respiratory variation;  $\Delta$ Ppleth (%), respiratory variation of plethysmographic wave amplitude.

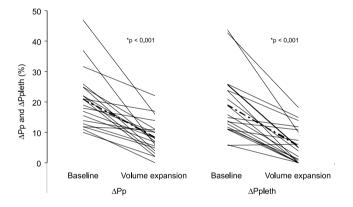


Figure 5 - Individual responses to volume expansion on  $\Delta Pp$  and  $\Delta Pp$ leth (%) in 20 patients. The dashed line shows mean decline of the parameters. \*Wilcoxon rank sum test.  $\Delta Pp$  (%), pulse pressure respiratory variation;  $\Delta Pp$ leth (%), respiratory variation of plethysmographic wave amplitude.

to  $36\% \pm 24\%$  (P < 0.008), respectively. Static parameters did not change after fluid infusion. CVP varied from  $8 \pm 3.5$  to  $9 \pm 5$  (P = 0.08), HR from  $104 \pm 26$  to  $102 \pm 25$  (P = 0.3) and MAP from  $71 \pm 13$  to  $77 \pm 15$  (P = 0.4). We determined the correlations between  $\Delta Ps$  and  $\Delta Spleth$  before (r = 0.85, P = 0.02) and after fluid expansion (r = 0.23, P = 0.55), and between  $\Delta Pp$  and  $\Delta Spleth$  before (r = 0.73, P = 0.03) and after infusion (r = 0.84, P = 0.004).

PFR and NPFR clinical parameters are shown in Table 2. Age, Acute Physiology and Chronic Health Evaluation II (APACHE II) score,  $PaCO_2$ , central venous oxygen saturation ( $ScvO_2$ ), heart rate, mean arterial pressure, and CVP were similar in both groups, while  $\Delta Pp$ ,  $\Delta Ps$ ,  $\Delta Ppleth$  and  $\Delta Spleth$  were all statistically different (P < 0.001).

Individual clinical characteristics did not influence the dynamic variables. Using a stepwise multiple regression we found that extracorporeal circulation time showed a significant positive correlation with  $\Delta Pp$  and  $\Delta Ppleth$  (P < 0.001), but not with  $\Delta Ps$  or  $\Delta Spleth$  (P = 0.33). There

**Table 2** - Clinical parameters according volume status

	ΔPp < 13% (n=17)	$\Delta Pp \ge 13\%$ (n=26)	$P^{\mathrm{a}}$
Age, years	60 ± 10	62 ± 8	0.45
APACHE II	$9 \pm 3$	$10 \pm 5$	0.57
PaCO <sub>2</sub> , mm Hg	$34 \pm 5$	$33 \pm 7$	0.85
ScvO <sub>2</sub> , %	$54 \pm 10$	$58 \pm 8.5$	0.59
HR, bpm	$86 \pm 15$	$97 \pm 20$	0.11
MAP, mm Hg	$82 \pm 11$	$82 \pm 12$	0.51
CVP, mm Hg	$7 \pm 3.5$	$7 \pm 3.4$	0.51
ΔPp, %	$7 \pm 2.8$	$22 \pm 6$	< 0.001
ΔPs, %	$7 \pm 2.4$	$12 \pm 4.5$	< 0.001
$\Delta$ Ppleth, %	$6 \pm 2.5$	$21 \pm 11$	< 0.001
ΔSpleth, %	$23 \pm 8$	$58 \pm 35$	< 0.001

APACHE II, Acute Physiology and Chronic Health Evaluation II; CVP, central venous pressure; ΔPp, pulse pressure respiratory variation; ΔPpleth, respiratory variation of plethysmographic wave amplitude; ΔPs, systolic pressure respiratory variation; ΔSpleth, systolic plethysmographic respiratory variation; HR, heart rate; MAP, mean arterial pressure; SaO<sub>2</sub>, arterial oxygen saturation; ScvO<sub>3</sub>, central venous oxygen saturation. <sup>a</sup>t-Student test.

were no significant differences in the correlation between the behavior of  $\Delta P$ pleth and (Spleth in relation to (Pp in 11 patients (P = 0.1) receiving vasopressors (noradrenaline, N=3, 0.5  $\pm$  0.15 mcg/kg/min or dopamine, N = 8, 4.6  $\pm$  2 mcg/kg/min), in 5 patients (P = 0.32) receiving dobutamine (6.6  $\pm$  2.43 mcg/kg/min), or in 6 patients (P = 0.34) receiving nitroglycerin (0.4  $\pm$  0.2 mcg/kg/min).

The inter-observer  $\Delta Pp$  reproducibility analysis showed a good correlation (r=0.92, P<0.001). The mean difference between observers was  $1.0\pm3.2$ , with a superior limit of 7.4 and an inferior limit of -5.2. The agreement between observers for discrimination of PFR from NPFR was also good (kappa=0.92; P<0.001). A similar agreement was found for  $\Delta Pp$ leth inter-observer analysis (r=0.94, P<0.001; mean difference =  $1.0\pm3.3$ , superior limit = 7.2, inferior limit = -5.7; and kappa=0.85; P<0.001).

## DISCUSSION

We were able to demonstrate a correlation between pulse oximetry plethysmographic wave amplitude and arterial pulse pressure variations induced by respiratory cycles in cardiac surgery patients. Therefore, the non-invasive plethysmographic wave amplitude respiratory variation measurement could potentially be used to detect patients who could benefit from additional fluid loading.

Arterial pressure variation has long been considered an accurate surrogate marker of fluid responsiveness. <sup>7-15</sup> The role of  $\Delta Ps$  as a marker of volemic status was initially

suggested by Perel et al. in 1987.<sup>13</sup> More recently, Michard et al. demonstrated the usefulness of the  $\Delta Pp$  measurement in showing fluid responsiveness in septic patients.<sup>7</sup> Although  $\Delta Pp$  measurement has been considered a minimally invasive technique, it is time-consuming and associated with rare but potentially harmful complications secondary to arterial cannulation, including hematoma, infection and thrombosis.<sup>1</sup> In contrast, since the pulse oximetry plethysmographic signal resembles the peripheral arterial pressure waveform and the degree of respiratory variation in the pulse oximetry wave is close to the degree of respiratory arterial pulse pressure variation, this measurement could be a useful and noninvasive alternative to  $\Delta Pp$ .<sup>16</sup>

The recognition of blood flow by the pulse oximetry plethysmographic sensor results from light emission by diodes (light emitting diode - LED). The detected pulsatility results from attenuation of the cyclic light energy transmitted to or reflected by the tissues where the sensor is applied. The light absorption by hemoglobin within the arteries and arterioles increases during the systole and decreases during diastole, due to the pulsatile variation of the blood volume and erythrocyte mass under the sensor. 16-18 The analysis of arterial and/or pulse plethysmographic wave tracings has been proposed as a tool to evaluate the relationship between plethysmographic wave behavior and the presence of paradoxical pulse. 19,20 Dorlas et al.21 and Partridge et al.22 have shown correlations in the variation of pulse plethysmographic wave with preload and systolic volume. In addition, Shamir et al.1 observed a good correlation between  $\Delta Ps$  and  $\Delta Spleth$  after withdrawal of 10% of blood volume and reinfusion. However, we must consider that while  $\Delta Ps$  results from systolic volume variation and the impact of inspiratory pleural pressure on the aorta,  $\Delta Pp$ reflects only systolic volume variation. Hence,  $\Delta Ps$  is more sensitive but less specific than  $\Delta Pp$  in detecting fluid load responsiveness, explaining the lower performance of (Spleth in comparison to (Ppleth).<sup>7</sup>

The correlation between (Ppleth and (Pp was pioneered by our group in 2004.2 Later, Cannesson et al.,<sup>3</sup> Natalini et al.,<sup>4</sup> and Feissel et al.<sup>6</sup> reported similar correlation coefficients to those we found. In the present study, interobserver reproducibility analyses revealed an excellent linear correlation and a strong agreement between independent observers, highlighting the reproducibility of (Ppleth. Second, among the several clinical variables that could interfere with the proposed comparisons, the extracorporeal circulation time was the only one related to the magnitude of both (Pp and (Ppleth. It is well known that extracorporeal circulation elicits an inflammatory response, reduces vascular tonus and increases endothelial permeability. These factors could alter (Pp and (Ppleth behavior in the same way).<sup>23,24</sup>

Third, we evaluated  $\Delta$ Ppleth,  $\Delta$ Spleth,  $\Delta$ Pp, and  $\Delta$ Ps in a homogeneous group of cardiac surgery patients, finding a ΔPpleth cutoff value of 11%. Previous studies enrolling a more heterogeneous population reported different cutoff values for ΔPpleth, ranging from 9% and 15%, for a considered gold standard ΔPp value of 13%.<sup>2-6</sup> Natalini et al.4 observed a threshold of 9% in a general population. In a subsequent study in which more than 70% of patients were septic, a ΔPpleth cutoff value of 15% was reported by addressing cardiovascular responsiveness through thermodilution.<sup>5</sup> We reported a ΔPpleth cutoff value of 10% in a study in which 15% of patients were septic.2 Recently, Feissel et al. showed a (Ppleth cutoff of 14% using echocardiographic cardiac output measurements in septic patients.6 Wyffels et al. included only cardiac surgery patients and found a cutoff value of 11%, as reported here.25 Apparently, greater values of (Ppleth allow the identification of cardiovascular responsiveness among septic patients, who frequently present widespread vasodilation. In agreement with Shamir et al,1 we consider that the high sensitivity and variability of the plethysmographic signals are likely caused by humoral and neurogenic factors.1,18,19 The (Ppleth amplification in relation to (Pp could be explained by the humoral response increasing the arteriolar compliance during arterial pressure measurement.

Fourth, there were concomitant changes in (Ppleth, (Spleth, (Pp and (Ps before and after volume challenge. However, there are some limitations to this aspect of the study, including the lack of left ventricular function analysis and the fact that we did not measure cardiac output directly in order to discriminate fluid responders from non-responders, since this is not routinely done in our hospital. We therefore classified our patients as "potential responders" ((Pp  $\geq 13\%$ ) and "potential non-responders" ((Pp < 13%), based on studies in septic patients and cardiac surgery patients.9,26,27 In a recent publication, Natalini et al.5 performed thirty-two fluid challenges in 22 hypotensive patients who were also monitored with a pulmonary artery catheter, showing that (Ppleth could predict cardiac output increase by administering fluid).

It must be noted the available pulse plethysmographs have limitations such as the lack of scales in the screen, and have fixed mechanisms to attenuate or increase the signal according to intensity. 16-19 We believe that new pulse oximetry devices could be easily adapted or developed if this concept is widely accepted. Other limitations have also been attributed to dynamic indicators of cardiovascular responsiveness, including movement artifacts, peripheral vasoconstriction and cutaneous pigments. 16,28 Although our findings were obtained under highly controlled conditions, mechanical ventilation and pulse plethysmography are

frequently required for critically ill or complex surgical patients.

We conclude that  $\Delta$ Ppleth of 11% or more accurately identifies respiratory pulse pressure variations greater than

13% in post-cardiac surgery patients under mechanical ventilation. In this homogeneous population,  $\Delta$ Ppleth has the potential to determine the response to fluid challenge in critically ill patients in the absence of an arterial line.

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