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Prevalence and *in vitro* antifungal susceptibility of cryptic species of the genus *Aspergillus* isolated in clinical samples



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ABSTRACT

Introduction: The genus *Aspergillus* contains more than 300 species, which are divided into closely related groups called sections. Molecular studies have revealed numerous cryptic species within different sections of this genus, which have different profiles of antifungal susceptibility and lack diagnostic morphological features. However, there are few studies on the prevalence and *in vitro* antifungal susceptibility of the cryptic species of this genus. The aim of this study was to investigate the distribution of *Aspergillus* spp. among clinical samples, and to study their *in vitro* susceptibility to different antifungal drugs.

Method: Over a period of 2-years (2014–2015), a total of 379 strains of the genus *Aspergillus* were isolated. Most of the isolates were classified as respiratory colonizations; no cases of invasive aspergillosis were found. The strains were identified by MALDI-TOF mass spectrometry, and susceptibility testing was performed by the EUCAST reference procedure.

Results: Twenty species belonging to 8 sections were identified, being *A. fumigatus* the most prevalent (44.1%). The prevalence of cryptic species was 15.3%, with a clear predominance of *A. tubingensis*. Among the tested antifungal drugs, amphotericin B was the less active *in vitro*, followed by triazole drugs and echinocandins. The cryptic species had minimum inhibitory concentrations (MICs) higher than the corresponding type species.

Conclusions: Accurate identification of the genus *Aspergillus* at the species level and *in vitro* antifungal susceptibility testing are necessary because, as it has been shown, some species of this genus may show resistance profiles against available antifungal drugs.

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Prevalencia y sensibilidad antifúngica *in vitro* de especies crípticas del género *Aspergillus* aisladas en muestras clínicas

RESUMEN

Introducción: El género *Aspergillus* contiene más de 300 especies, que se dividen en grupos estrechamente relacionados llamados secciones. Los estudios moleculares han revelado la existencia de numerosas especies crípticas dentro de las diferentes secciones de este género, las cuales tienen diferentes perfiles de sensibilidad antifúngica y carecen de características morfológicas diferenciales de diagnóstico. Sin embargo, hay pocos estudios sobre la prevalencia y la sensibilidad antifúngica *in vitro* de las especies crípticas de este género. El objetivo de este estudio fue investigar la distribución de *Aspergillus* spp. en muestras clínicas, y estudiar su sensibilidad *in vitro* a diferentes fármacos antimicóticos.

Métodos: Durante un período de 2 años (2014-2015), se aislaron un total de 379 cepas del género *Aspergillus*. La mayoría de los aislamientos se clasificaron como colonizaciones respiratorias; no encontrándose casos de aspergilosis invasiva. Las cepas se identificaron mediante espectrometría de masas MALDI-TOF, y las pruebas de sensibilidad antifúngica se realizaron mediante el procedimiento de referencia EUCAST.

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Resultados: Se identificaron 20 especies pertenecientes a 8 secciones, siendo *A. fumigatus* la más prevalente (44,1%). La prevalencia de especies cripticas fue del 15,3%, con un claro predominio de *A. tubingensis*. Entre los fármacos antimicóticos probados, la anfotericina B fue la menos activa *in vitro*, seguida de los fármacos triazoles y las equinocandinas. Las concentraciones mínimas inhibitorias (CMIs) de los antifúngicos fueron más elevadas frente a las especies cripticas que frente a las especies tipo correspondientes.

Conclusiones: La identificación precisa de *Aspergillus* a nivel de especie y las pruebas de sensibilidad a antifúngicos *in vitro* son necesarias porque, como se ha demostrado, algunas especies de este género presentan diferentes perfiles de resistencia frente a los fármacos antimicóticos disponibles.

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Introduction

Since the genus *Aspergillus* was first described in 1729 by the Italian botanist Micheli, more than 300 species have been described.¹ These species are characterized by their spores. They are widely distributed in the air and the environment, being responsible for a wide spectrum of diseases, from allergies to invasive infections, particularly in immunosuppressed patients.² Traditionally, in clinical mycology laboratories, the identification of *Aspergillus* spp. has been routinely based on determination of macroscopic and microscopic morphological characteristics.³ These identification methods do not allow discrimination between morphologically closely related species (cryptic species) within the genus, so current recommendations for species level identification within the *Aspergillus* sections include the use of molecular methods based on comparative sequencing.^{4,5}

Accurate identification at the species level is important because some of these cryptic species, such us *A. calidoustus* (*Aspergillus* section *Usti*) and *A. lentulus* (*Aspergillus* section *Fumigati*) show decreased susceptibility to multiple antifungal drugs.^{6,7} Therefore, a correct identification should guide the choice of the most appropriate antifungal therapy, until the antifungal susceptibility testing results are available.

Finally, it is important to highlight how medical advances have managed to increase the survival of patients under immunosuppressive conditions, increasing the risk of developing an invasive fungal infection.^{8,9} In fact, *Aspergillus* spp. is the main cause of invasive mycosis caused by molds in immunosuppressed patients.

Despite all of the above, there are few prevalence studies involving these criptic pathogens. Therefore, the aim of this study was to analyze the distribution of *Aspergillus* spp. isolated from clinical samples and to describe their *in vitro* antifungal susceptibility, in a Spanish tertiary care hospital.

Methods

Aspergillus spp. isolates and clinical data

We included all 379 strains of *Aspergillus* spp. isolated from clinical samples over a 2-year period, from January 2014 to December 2015, in the Clinical Microbiology Laboratory of the University Hospital Virgen del Rocío (Seville, Spain).

The laboratory information system and the medical records of the patients were used to obtain the demographic data, information on underlying disease, dates and type of samples, and clinical assessment of each isolation. Regarding the latter, and in similar way to that described by Alastruey-Izquierdo *et al.*,¹³ we classified *Aspergillus* spp. isolates into two groups: the clinically relevant ones, which received targeted antifungal treatment; and the colonizers, which did not receive it.

Strains collection

The identification of the *Aspergillus* spp. strains by molecular methods (gene sequencing and mass spectrometry) and the antifungal susceptibility testing were carried out in different periods and retrospectively, from a collection of strains based on suspensions of conidia in sterile distilled water. These were obtained from cultures grown on Sabouraud-chloramphenicol agar plates (SCA; Oxoid, Basingstoke, UK) after 5–7 days of incubation at 30 °C. The vials were stored at room temperature. Finally, the strains were recovered by inoculating an aliquot of distilled water suspension of conidia on SCA, making 5 marks per plate and incubating for 48 h at 30 °C.

Strains identification

All 379 strains were identified prospectively, during the study period, by morphological observation using the identification key described by de Hoog *et al.*¹⁰

One hundred seventy nine strains were selected to be identified by sequencing of beta-tubulin and calmodulin genes (because they offer unknown phenotypic characteristics). Finally, an in-house reference database was constructed in the matrix-assisted laser desorption ionization time-of-flight mass spectrometry (MALDI-TOF MS) (Bruker Daltonik GmbH, Germany) from 42 sequenced clinical isolates and 11 reference strains.¹¹ This new database included 23 different species (7 non-cryptic and 16 cryptic species), of which 12 species, all cryptic, are exclusively present in our library and are not found in the manufacturer's library.

The remaining 200 strains were identified by MALDI-TOF MS, exclusively using our in-house extended and previously validated database.¹¹ For this, the isolates were grown on SCA for 48 h of incubation at 30 °C, and were processed by a standardized extraction procedure with ethanol and formic acid. All isolates were spotted and identified in triplicate by MALDI-TOF MS. In order to correctly consider the identification of the strains, at least two of the three identifications were required to coincide in the name of the species and obtain scores ≥2.0. The strains identified with score <2.0 were reidentified by partial sequencing of the beta-tubulin gene.

Antifungal susceptibility testing

Microbroth dilution testing was performed as outlined by the European Committee for Antimicrobial Susceptibility Testing (EUCAST) version 9.3, 2015.¹² The antifungal agents tested were amphotericin B (Sigma-Aldrich Química), voriconazole (Pfizer S.A., Madrid, Spain), posaconazole (Merck & Co., Inc., Rahway, NJ), caspofungin (Merck & Co., Inc., Rahway, NJ) and anidulafungin (Pfizer S.A., Madrid, Spain). *Aspergillus fumigatus* ATCC 204305 and *Aspergillus flavus* ATCC 204304 were used as quality-control strains.

A range of concentrations from 0.03 to 16 mg/L of amphotericin B, voriconazole, caspofungin and anidulafungin and, from 0.015 to

Table 1Distribution of *Aspergillus* species ($n = 379$) and number of isolates.

Subgenus	Section	Species	No. of strains identified by sequencing	No. of strains identified by MALDI-TOF MS	Total no. (%) of isolates
Fumigati	Fumigati	<i>A. fumigatus</i>	49	119	168 (44.3)
		<i>A. lentulus</i>	1	0	1 (0.3)
		<i>A. fisherianus</i>	1	0	1 (0.3)
Circumdati	Flavi	<i>A. flavus</i>	46	24	70 (18.5)
		<i>A. minisclerotigenes</i>	3	0	3 (0.8)
		<i>A. nomius</i>	1	0	1 (0.3)
		<i>A. tamarii</i>	3	0	3 (0.8)
	Nigri	<i>A. niger</i>	15	7	22 (5.8)
		<i>A. tubingensis</i>	18	19	37 (9.8)
		<i>A. ochraceus</i>	1	0	1 (0.3)
Terrei	Terrei	<i>A. persii</i>	1	0	1 (0.3)
		<i>A. pseudoelegans</i>	1	0	1 (0.3)
		<i>A. terreus</i>	36	21	57 (15.0)
		<i>A. calidoustus</i>	1	0	1 (0.3)
Nidulantes	Versicolores	<i>A. creber</i>	1	0	1 (0.3)
		<i>A. sydowii</i>	1	0	1 (0.3)
		<i>A. tabacinus</i>	1	0	1 (0.3)
	Nidulantes	<i>A. nidulans</i>	2	1	3 (0.8)
		<i>A. quadrilineatus</i>	5	0	5 (1.3)
Usti	Usti	<i>A. rugulosus</i>	1	0	1 (0.3)
		<i>A. calidoustus</i>	1	0	1 (0.3)

Note: Species highlighted in bold correspond to cryptic species.

8 mg/L for posaconazole were studied. Plates were incubated at $35 \pm 2^\circ\text{C}$ for 48 h. Visual readings were performed at 48 h with the help of a mirror. The endpoint for amphotericin B, voriconazole and posaconazole was the antifungal concentration that produced a complete inhibition of visual growth at 48 h (minimum inhibitory concentration, MIC). For the echinocandins (caspofungin and anidulafungin), the endpoint was the antifungal concentration that produced a visible change in the morphology of the hyphae compared with the growth control well (minimum effective concentration, MEC). Tests were performed in triplicate. We performed the susceptibility testing on 82 strains, which included all cryptic species of our collection and a maximum of 5 strains of each non-cryptic species, since the aim of the study focused on cryptic species.

As with the document "Clinical breakpoints-fungi (v 8.1)" of EUCAST, only breakpoints are established for certain *Aspergillus* spp., and none of them are for cryptic species. We decided not to apply them, and just described the results obtained for every species.

Results

Out of 184,558 clinical samples processed for fungal culture over a 2-year period in our laboratory, 351 samples (0.2%) from 280 different patients were positive in culture for *Aspergillus* spp. A total of 379 strains of *Aspergillus* spp. were isolated from a variety of samples including respiratory tract ($n = 273$), otic exudates ($n = 62$), skin/nails scales ($n = 6$), bone biopsy ($n = 1$), conjunctival exudate ($n = 1$), skin burn ($n = 1$), peritoneal drainage ($n = 1$), pharyngeal exudates ($n = 3$), pleural fluid ($n = 1$), vitreous humor ($n = 1$) and wound exudate ($n = 1$). Among the respiratory tract samples, sputum (90.11%) was the most frequent, followed by 4.76% bronchoalveolar lavage, 4.76% transbronchial aspirates fluids and 0.37% bronchial brushings. There were 26 samples in which multiple isolates of *Aspergillus* spp. were cultured, including 24 samples with double isolates and two with triple isolates.

The male/female ratio of the 280 patients with *Aspergillus* spp. cultured was 1/19. The mean age of the patients was 53.86 ± 24.18 years (range: 1–94 years). Pulmonary disease was present in 38.21% patients as underlying disease, followed by cystic fibrosis in 17.50%, while immunosuppressed patients accounted for 5%.

The identification of the 200 strains of *Aspergillus* spp. by MALDI-TOF MS, with the new database, allowed obtaining a correct identification at the species level (score ≥ 2.0) in 191 (95.5%) of them. The nine remaining strains obtained a score between 1.7 and <2.0, being reidentified by partial sequencing of the beta-tubulin gene. Finally, twenty different species from 8 sections were identified (Table 1). One-hundred seventy strains were classified as *Aspergillus* section Fumigati: 168 *A. fumigatus*, 1 *A. lentulus* and 1 *A. fisherianus*. *Aspergillus* section Flavi included 70 *A. flavus*, 3 *A. minisclerotigenes*, 1 *A. nomius* and 3 *A. tamarii*. *Aspergillus* section Nigri included 22 *A. niger* and 37 *A. tubingensis*. *Aspergillus* section Terrei included 57 *A. terreus*. *Aspergillus* section Nidulantes included 3 *A. nidulans*, 5 *A. quadrilineatus* and 1 *A. rugulosus*. *Aspergillus* section Versicolores included 1 *A. creber*, 1 *A. sydowii* and 1 *A. tabacinus*. Other sections represented were Circumdati (1 *A. ochraceus*, 1 *A. pseudoelegans* and 1 *A. persii*) and Usti (1 *A. calidoustus*). In our study of 379 strains, 58 (15.3%) corresponded to cryptic species, being *A. tubingensis* the most frequent.

A total of 277 (78.9%) of the 351 samples had clinical information on the assessment of the isolation of *Aspergillus* spp. in the medical records (Table 2). Two hundred and eight (75.1%) of the isolates were considered as colonizers, and in the remaining 69 (24.9%) samples, the isolates were considered clinically relevant. These strains were isolated from the following samples: respiratory tract samples ($n = 31$) otic exudates ($n = 33$), bone biopsy ($n = 1$), skin burn ($n = 1$), conjunctival exudate ($n = 1$), peritoneal drainage ($n = 1$) and skin scales ($n = 1$). Cryptic species were isolated in 24.63% of the clinically relevant cases: *A. tubingensis* was the most frequent with 14 isolates (all from otic exudates), followed by *A. nomius*, *A. minisclerotigenes* and *A. persii*, with only one isolate per species (in bone biopsy, otic exudate and respiratory tract sample, respectively).

The results of the susceptibility testing of the strains are shown in Table 3. The highest MIC of 8.0 mg/L of amphotericin B was found against *A. lentulus*, *A. nidulans* and *A. quadrilineatus*, followed by MICs of 4.0 mg/L against *A. terreus* and in two representatives of the section Circumdati (*A. ochraceus* and *A. pseudoelegans*). The remaining species had MICs of 2.0 mg/L. *Aspergillus calidoustus* was the species with the highest MIC values to triazoles tested with a MIC of 8.0 mg/L for voriconazole and 4.0 mg/L for posaconazole, while the remaining cryptic species showed MIC ranges of 0.125–2.0 mg/L.

Table 2

Clinical relevance of *Aspergillus* spp. in different types of samples according to the information recorded in the medical history.

Type of sample	Assessment of the isolation of <i>Aspergillus</i> spp.				Total number of samples
	Colonizer	Clinically relevant	No information registered		
Respiratory tract	202	31	40		273
Otic exudate	0	33	29		62
Skin/nails scales	2	1	3		6
Bone biopsy	0	1	0		1
Conjunctival exudate	0	1	0		1
Skin burn	0	1	0		1
Peritoneal drainage	0	1	0		1
Pharyngeal exudate	3	0	0		3
Pleural fluid	0	0	1		1
Vitreous humor	1	0	0		1
Wound exudate	0	0	1		1

Table 3

Antifungal susceptibility of *Aspergillus* spp. recovered in this study.

Species	N ^b	MIC (mg/L) ^a				MIC (mg/L) ^a				CPF	
		AMB		VCZ		PCZ		ANF			
		GM ^c	Range	GM	Range	GM	Range	GM	Range		
<i>A. fumigatus</i>	5	1.60	1.00–2.00	0.50	0.25–1.00	0.40	0.25–0.50	0.03	–0.03	0.03	
<i>A. lentulus</i>	1	8.00	–8.00	2.00	–2.00	0.25	–0.25	0.03	–0.03	0.25	
<i>A. fisherianus</i>	1	0.125	–0.125	2.00	–2.00	0.50	–0.25	0.03	–0.03	0.25	
<i>A. flavus</i>	5	2.00	–2.00	1.00	–1.00	0.50	–0.50	0.03	–0.03	0.03	
<i>A. minisclerotigenes</i>	3	2.00	–2.00	1.33	1.00–2.00	0.25	–0.25	0.03	–0.03	0.03	
<i>A. nomius</i>	1	2.00	–2.00	1.00	–1.00	0.25	–0.25	0.03	–0.03	0.03	
<i>A. tamarii</i>	3	2.00	–2.00	1.33	1.00–2.00	0.25	–0.25	0.03	–0.03	0.03	
<i>A. niger</i>	5	1.00	–1.00	0.50	–0.50	0.50	–0.50	0.03	–0.03	0.03	
<i>A. tubingensis</i>	37	1.00	–1.00	0.97	0.50–2.00	0.51	0.25–1.00	0.03	–0.03	0.03	
<i>A. terreus</i>	5	2.40	2.00–4.00	1.40	1.00–2.00	0.50	–0.50	0.03	–0.03	0.03	
<i>A. ochraceus</i>	1	4.00	–4.00	1.00	–1.00	1.00	–1.00	0.03	–0.03	0.03	
<i>A. persii</i>	1	2.00	–2.00	2.00	–2.00	1.00	–1.00	0.03	–0.03	0.03	
<i>A. pseudoelegans</i>	1	4.00	–4.00	0.50	–0.50	0.50	–0.50	0.06	–0.06	0.06	
<i>A. nidulans</i>	3	3.67	1.00–8.00	0.21	0.125–0.25	0.33	0.25–0.50	0.04	0.03–0.06	0.03	
<i>A. quadrilineatus</i>	5	2.10	0.50–8.00	0.30	0.25–0.50	0.35	0.25–0.50	0.03	0.03	0.12	
<i>A. rugulosus</i>	1	1.00	–1.00	0.25	–0.25	0.25	–0.25	0.03	–0.03	0.06	
<i>A. creber</i>	1	d.n.a.^d	d.n.a.	d.n.a.	d.n.a.	d.n.a.	d.n.a.	d.n.a.	d.n.a.	d.n.a.	
<i>A. sydowii</i>	1	d.n.a.^d	d.n.a.	d.n.a.	d.n.a.	d.n.a.	d.n.a.	d.n.a.	d.n.a.	d.n.a.	
<i>A. tabacinus</i>	1	1.00	–1.00	1.00	–1.00	0.50	–0.50	0.03	–0.03	0.03	
<i>A. calidoustus</i>	1	0.50	–0.50	8.00	–8.00	4.00	–4.00	0.03	–0.03	0.03	

^a AMB, amphotericin B; VCZ, voriconazole; PCZ, posaconazole; ANF, anidulafungin; CPF, caspofungin.

^b N, number of isolates.

^c GM, geometric mean.

^d d.n.a., data not available due to non-viability of the strains.

Note: species highlighted in bold correspond to cryptic species.

and 0.25–1.0 mg/L for voriconazole and posaconazole, respectively. The echinocandins were the most active drugs with a MIC of anidulafungin of 0.06 mg/L in *A. nidulans* and *A. pseudoelegans*; and 0.25 mg/L of caspofungin in *A. lentulus*, *A. quadrilineatus* and *A. fisherianus*. *Aspergillus creber* and *A. sydowii*, from the *Versicolores* section, could not be tested due to the non-viability of the strains at the time of carrying out this part of the study (after the identification phase).

Discussion

The taxonomy of microorganisms has changed extensively due to advances in the identification tools. Among these, filamentous fungi have also undergone changes in their classification.¹ In the case of the genus *Aspergillus*, numerous novel species have been recently described, a fact that has caught the attention of the medical community, since these new species have been isolated in cases of invasive infections.^{14–17} These species have been called cryptic species and they are worth of investigation because some have diminished profiles of antifungal sensitivity.^{1,18} In addition, the described cases of resistant strains

are increasing. All this leads to the need to perform a correct identification at the species level and antifungal susceptibility testing.^{16,19,20}

Diseases caused by *Aspergillus* spp. are associated with a spectrum of immunity disorders^{8,21} and invasive aspergillosis (IA) is an important cause of death in these patients. The incidence of IA in different studies ranges from <1% to 30% depending on the patient population.² However, despite obtaining *Aspergillus* spp. isolates from immunosuppressed patients, there were no cases of IA recorded in our series.

Most of *Aspergillus* spp. isolates (77.8%) in our study grew in respiratory tract samples. Invasive pulmonary aspergillosis is the most common manifestation of aspergillosis.²² However, the isolation of *Aspergillus* spp. in respiratory tract samples is unspecific in immunocompetent patients because of the difficulty of distinguishing between disease and colonization.²³ The isolate specificity increases when the patient's immunocompetence decreases. In our series, only 11.3% of the respiratory isolates were considered clinically relevant.

In the multicentre FILPOP study,¹³ carried out with clinical samples from 29 Spanish hospitals, and where the

aim was to investigate the epidemiology and antifungal resistance of filamentous fungi isolated from deep tissues samples, blood cultures and respiratory samples; *A. fumigatus* was the most frequent species among the 278 strains of *Aspergillus* spp. isolated. The same happened in our study, reporting a similar prevalence (48.5% versus 44.1%). Similar results were obtained in both studies with respect to *A. niger* (6.5% versus 5.8%), whereas it was higher for *A. flavus* (8.4% versus 18.5%) and *A. terreus* (8.1% versus 15.3%) in our study.

We have characterized a large number of cryptic species belonging to the sections *Fumigati*, *Flavi*, *Nigri*, *Circumdati*, *Nidulantes* and *Usti* in clinical samples, representing a prevalence of 15.3%. These results are similar to those already published in other studies conducted in Europe and in the United States where the prevalence ranged from 10% to 15%.^{13,18,24} *Aspergillus tubingensis* was the cryptic species most commonly found, being the number of isolates of this species slightly higher than that of its type species *A. niger*. These data are similar to those published in other studies, in which both species are found in similar proportions.^{19,25} In addition to the section *Nigri*, in *Flavi* and *Nidulantes* sections a high number of cryptic species was found.

The antifungal susceptibility testing shows that the most common species are not usually resistant, as opposed to cryptic species that exhibit low susceptibility to multiple antifungals *in vitro*. *Aspergillus calidoustus* was the only species of section *Usti* and coincidental with previously reports, it showed reduced *in vitro* susceptibility to triazole drugs.^{7,26} *Aspergillus lentulus* showed decreased susceptibility to azoles and amphotericin B, as described before.²⁷ Coincidental with Alcazar-Fuoli *et al.*,²⁰ we found higher MIC values of triazoles in *A. fisherianus* compared to *A. fumigatus*, but similar values for the rest of the drugs tested. *Aspergillus niger* showed a twofold higher *in vitro* susceptibility to voriconazole and posaconazole than *A. tubingensis*, in accordance with the results reported by Hendrickx *et al.*¹⁹ Amphotericin B resistance was found in some isolates of *A. terreus*, as previously reported.²⁸ Among the species of the section *Nidulantes* highlights its different *in vitro* susceptibility to amphotericin B, with MICs of up to 8.0 mg/L for *A. nidulans* and *A. quadrilineatus*, compared to 1.0 mg/L for *A. rugulosus*. Unlike the results obtained by Verweij *et al.*,²⁹ the strains of *A. quadrilineatus* did not have lower MICs than *A. nidulans* to triazoles than *A. nidulans*. Finally, in section *Circumdati* we stress the raised MIC to amphotericin B of *A. ochraceus* and *A. pseudoelegans*, and the higher MIC values to triazoles of *A. persii*.³⁰

The results of this study emphasize the need to achieve a correct identification at the species level within the genus *Aspergillus*, due to the different profiles of antifungal sensitivity among species of different sections and even among different species of the same section. The results obtained could influence the choice of the most appropriate empirical treatment and, therefore, in the patient's prognosis. As an example, it is not the same for a patient to isolate in a bronchoalveolar lavage *A. calidoustus* (with intrinsic resistance to azoles) or *A. ustus*: a correct identification would lead to choose amphotericin B as the treatment of choice instead of voriconazole. More investigations of this type are needed to know the local prevalence of the most common fungal agents, which will help to guide the empirical treatment of the different types of fungal infections, and will adjust the first-line antifungal treatments in each hospital center.

Conflicts of interest

The authors declare no conflicts of interest.

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